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(21) International Application Number: PCT/IB99/01701 (22) International Filing Date: 18 October 1999 (18.10.99) (30) Priority Data: 60/106,836                      3 November 1998 (03.11.98)                      US (71) Applicant (for all designated States except US): PFIZER PRODUCTS INC. [US/US]; Eastern Point Road, Groton, CT 06340 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): KANEKO, Takushi [JP/US]; 398 Northwood Drive, Guilford, CT 06437 (US). (74) Agents: SPIEGEL, Allen, J. et al.; c/o Simpson, Alison, Urquhart-Dykes & Lord, 91 Wimpole Street, London W1M 8AH (GB).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished          upon receipt of that report.</i>	

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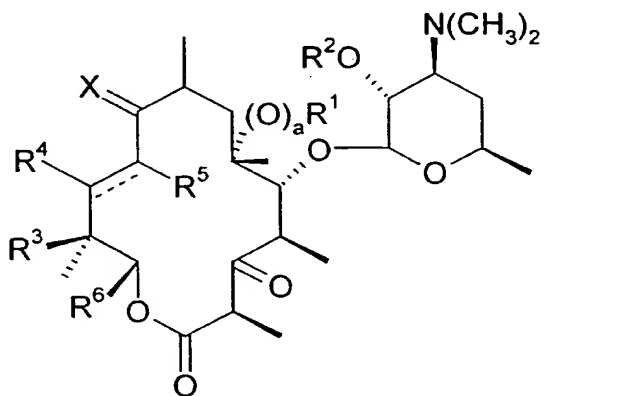
NOVEL MACROLIDE ANTIBIOTICSBackground Of The Invention

This invention relates to novel macrolide derivatives that are useful as antibacterial and antiprotozoal agents in mammals, including man, as well as in fish and birds. This invention also relates to pharmaceutical compositions containing the novel compounds and to methods of  
 10 treating bacterial and protozoal infections and disorders related to bacterial infections, such as atherosclerosis and cancer, in mammals, fish and birds by administering the novel compounds to mammals, fish and birds requiring such treatment.

Macrolide antibiotics are known to be useful in the treatment of a broad spectrum of bacterial and protozoal infections in mammals, fish and birds. Such antibiotics include various  
 15 derivatives of erythromycin A such as azithromycin which is commercially available and is referred to in United States patents 4,474,768 and 4,517,359, both of which are incorporated herein by reference in their entirety. Like azithromycin and other macrolide antibiotics, the novel macrolide compounds of the present invention are broad-spectrum macrolide antibiotics that are effective against infections caused by certain gram-positive and gram-negative bacteria as well  
 20 as protozoa.

Summary of the Invention

The present invention relates to a compound of the formula



25 or the pharmaceutically acceptable salt thereof; wherein the dashed line between positions 10 and 11 represents an optional double bond;  
 a is 0 or 1;

R<sup>1</sup> is hydrogen or (C<sub>1</sub>-C<sub>10</sub>)alkyl optionally substituted by fluoro, cyano, R<sup>7</sup>, R<sup>7</sup>O<sub>2</sub>C, R<sup>7</sup>C(O)NH and R<sup>7</sup>S(O)<sub>n</sub> wherein n is 0, 1 or 2 and R<sup>7</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo, (C<sub>1</sub>-  
 30

- 5 C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>2</sup> is hydrogen or a hydroxy protecting group;

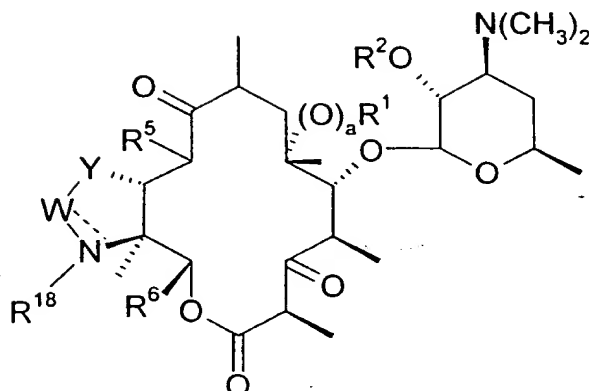
- R<sup>3</sup> is amino, cyano, N<sub>3</sub>, R<sup>10</sup>NH, R<sup>10</sup>C(O)NH, R<sup>10</sup>NHC(O)NH, R<sup>10</sup>NHC(S)NH, R<sup>10</sup>NHNHC(O)NH, R<sup>10</sup>ONHC(O)NH, R<sup>10</sup>O, R<sup>10</sup>OC(O)NH, R<sup>10</sup>S(O)<sub>n</sub>, R<sup>10</sup>phosphoramido, R<sup>10</sup>sulfonamido, SH, R<sup>10</sup>S wherein n is defined above and R<sup>10</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents selected independently from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl; or R<sup>3</sup> is R<sup>12</sup>R<sup>13</sup>N wherein R<sup>12</sup> and R<sup>13</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

- 20 R<sup>4</sup> is hydrogen, methyl optionally substituted by one to two nitro, cyano, R<sup>14</sup>C(O) and R<sup>14</sup>OC(O); or R<sup>4</sup> is N<sub>3</sub>, R<sup>14</sup>O, R<sup>14</sup>NH, R<sup>14</sup>S wherein R<sup>14</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl; or R<sup>4</sup> is R<sup>15</sup>N(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein R<sup>15</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

- 30 X is oxygen or NOR<sup>16</sup> wherein R<sup>16</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen or (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>5</sup> is hydrogen or methyl;

or R<sup>3</sup> and R<sup>4</sup> may be taken together with the carbons to which they are attached to form



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wherein the dashed line, between the nitrogen and the variable W of formula II, represents an optional double bond;

W is  $C=O$ ,  $C=S$ ,  $SO_2$  or  $C=NR^{10}$  wherein  $R^{10}$  is as defined above;

Y is oxygen, sulfur or  $NR^{17}$  wherein  $R^{17}$  is hydrogen,  $R^{19}$ ,  $R^{19}O$  or  $R^{19}NH$  wherein  $R^{19}$  is  
 10 hydrogen,  $(C_1-C_6)$ alkyl,  $(C_2-C_{12})$ alkenyl,  $(C_2-C_{12})$ alkynyl,  $(C_3-C_{10})$ cycloalkyl,  $(C_1-C_6)$ alkyl,  $(C_2-C_9)$ heterocycloalkyl,  $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl,  $(C_6-C_{10})$ aryl,  $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl,  $(C_1-C_6)$ alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^8R^9N$ ,  $R^8C(O)$ ,  $R^8C(O)O$ ,  
 15  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$  wherein  $R^8$  and  $R^9$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_5-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl;

$R^{18}$  is hydrogen,  $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl,  $(C_6-C_{10})$ aryl,  $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl,  $(C_1-C_6)$ alkyl; wherein the aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^{20}R^{21}N$ ,  $R^{20}C(O)$ ,  $R^{20}C(O)O$ ,  $R^{20}OC(O)$ ,  $R^{20}C(O)NH$ ,  $R^{20}NHC(O)$ ,  
 20  $R^{20}R^{21}NC(O)$ , and  $R^{20}OCO_2$  wherein  $R^{20}$  and  $R^{21}$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ acyl or  $(C_5-C_{10})$ aryl; or  $(C_2-C_9)$ heteroaryl;

$R^6$  is hydrogen,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy,  $(C_1-C_6)$ alkyl or  $(C_1-C_6)$ alkylthio,  $(C_1-C_6)$ alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three substituents independently selected from hydroxy and halo; or  $R^6$  is  $(C_3-C_{10})$ cycloalkyl or  $(C_5-C_{10})$ cycloalkenyl optionally substituted by  $(C_1-C_6)$ alkyl or halo; or  $R^6$  is  $(C_2-C_8)$ heterocycloalkyl or  $(C_2-C_9)$ heteroaryl optionally substituted by  $(C_1-C_6)$ alkyl,  $(C_2-C_8)$ alkenyl,  $(C_2-C_8)$ alkynyl,  $(C_3-C_{10})$ cycloalkyl,  $(C_5-C_{10})$ cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl,  $(C_1-C_6)$ alkoxy or halo;

30 with the proviso that at least one of  $R^{17}$  or  $R^{18}$  is hydrogen;

with the proviso that when the dashed line between positions 10 and 11 represents a double bond,  $R^4$  is hydrogen; and

5 with the proviso that when a is zero, R<sup>1</sup> is hydrogen.

The term "alkyl", as used herein, unless otherwise indicated, includes saturated monovalent hydrocarbon radicals having straight, branched or cyclic moieties. It is understood that for cyclic moieties at least three carbon atoms are required in said alkyl group, and for said alkyl group to include a carbon-carbon double or triple bond at least two carbon atoms are required in said alkyl group.

The term "hydroxy protecting group", as used herein, unless otherwise indicated, includes benzoyl, benzyl, (C<sub>1</sub>-C<sub>6</sub>)alkanoyl, ((C<sub>1</sub>-C<sub>3</sub>)alkyl)<sub>3</sub>silyl, and tert-butyldimethylsilyl groups, preferably an acetyl group. The alkanoyl group can be cleaved after its administration to function as a prodrug.

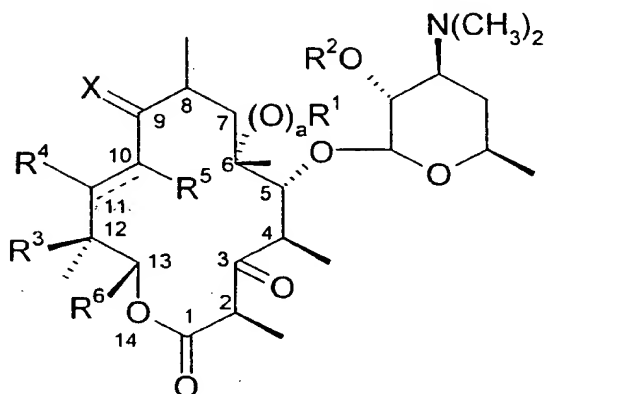
15 The term "aryl", as used herein, unless otherwise indicated, includes an organic radical derived from an aromatic hydrocarbon by removal of one hydrogen, such as phenyl or naphthyl.

(C<sub>2</sub>-C<sub>9</sub>)Heterocycloalkyl when used herein refers to pyrrolidinyl, tetrahydrofuranyl, dihydrofuranyl, tetrahydropyranyl, pyranyl, thiopyranyl, aziridinyl, oxiranyl, methylenedioxy, chromenyl, isoxazolidinyl, 1,3-oxazolidin-3-yl, isothiazolidinyl, 1,3-thiazolidin-3-yl, 1,2-pyrazolidin-2-yl, 1,3-pyrazolidin-1-yl, piperidinyl, thiomorpholinyl, 1,2-tetrahydrothiazin-2-yl, 1,3-tetrahydrothiazin-3-yl, tetrahydrothiadiazinyl, morpholinyl, 1,2-tetrahydrodiazin-2-yl, 1,3-tetrahydrodiazin-1-yl, tetrahydroazepinyl, piperazinyl, chromanyl, etc. One of ordinary skill in the art will understand that the connection of said (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl rings is through a carbon or a sp<sup>3</sup> hybridized nitrogen heteroatom.

(C<sub>2</sub>-C<sub>9</sub>)Heteroaryl when used herein refers to furyl, thienyl, thiazolyl, pyrazolyl, isothiazolyl, oxazolyl, isoxazolyl, pyrrolyl, triazolyl, tetrazolyl, imidazolyl, 1,3,5-oxadiazolyl, 1,2,4-oxadiazolyl, 1,2,3-oxadiazolyl, 1,3,5-thiadiazolyl, 1,2,3-thiadiazolyl, 1,2,4-thiadiazolyl, pyridyl, pyrimidyl, pyrazinyl, pyridazinyl, 1,2,4-triazinyl, 1,2,3-triazinyl, 1,3,5-triazinyl, pyrazolo[3,4-b]pyridinyl, cinnolinyl, pteridinyl, purinyl, 6,7-dihydro-5H-[1]pyrindinyl, benzo[b]thiophenyl, 5, 6, 7, 8-tetrahydro-quinolin-3-yl, benzoxazolyl, benzothiazolyl, benzisothiazolyl, benzisoxazolyl, benzimidazolyl, thianaphthenyl, isothianaphthenyl, benzofuranyl, isobenzofuranyl, isoindolyl, indolyl, indoliziny, indazolyl, isoquinolyl, quinolyl, phthalazinyl, quinoxaliny, quinazolinyl, benzoxazinyl; etc. One of ordinary skill in the art will understand that the connection of said (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl rings is through a carbon atom or a sp<sup>3</sup> hybridized nitrogen heteroatom.

35 The term "acyl", as used herein, unless otherwise indicated, includes a radical of the general formula RCO wherein R is alkyl, alkoxy, aryl, arylalkyl or arylalkyloxy and the terms "alkyl" or "aryl" are as defined above.

- 5 The positions of the macrolide derivatives of formula I are defined as follows:



The compounds of this invention include all configurational isomers (e.g., cis and trans isomers) and all optical isomers of compounds of the formula I (e.g., enantiomers and diastereomers), as well as racemic, diastereomeric and other mixtures of such isomers.

- 10 The phrase "pharmaceutically acceptable salt(s)", as used herein, unless otherwise indicated, includes salts of acidic or basic groups which may be present in the compounds of the present invention. The compounds of the present invention that are basic in nature are capable of forming a wide variety of salts with various inorganic and organic acids. The acids that may be used to prepare pharmaceutically acceptable acid addition salts of such basic compounds of are
- 15 those that form non-toxic acid addition salts, i.e., salts containing pharmacologically acceptable anions, such as the hydrochloride, hydrobromide, hydroiodide, nitrate, sulfate, bisulfate, phosphate, acid phosphate, isonicotinate, acetate, lactate, salicylate, citrate, acid citrate, tartrate, pantothenate, bitartrate, ascorbate, succinate, maleate, gentisinate, fumarate, gluconate, glucuronate, saccharate, formate, benzoate, glutamate, methanesulfonate, ethanesulfonate, benzenesulfonate, p-
- 20 toluenesulfonate and pamoate [i.e., 1,1'-methylene-bis-(2-hydroxy-3-naphthoate)] salts. The compounds of the present invention that include an amino moiety may form pharmaceutically acceptable salts with various amino acids, in addition to the acids mentioned above.

- Those compounds of the present invention that are acidic in nature are capable of forming base salts with various pharmacologically acceptable cations. Examples of such salts include the
- 25 alkali metal or alkaline earth metal salts and, particularly, the calcium, magnesium, sodium and potassium salts of the compounds of the present invention.

- Certain compounds of the present invention may have asymmetric centers and therefore exist in different enantiomeric and diastereomeric forms. This invention relates to the use of all optical isomers and stereoisomers of the compounds of the present invention, and mixtures thereof,
- 30 and to all pharmaceutical compositions and methods of treatment that may employ or contain them.

- 5 The present invention includes the compounds of the present invention, and the pharmaceutically acceptable salts thereof, wherein one or more hydrogen, carbon or other atoms are replaced by isotopes thereof. Such compounds may be useful as research and diagnostic tools in metabolism pharmacokinetic studies and in binding assays.

Preferred compounds of formula I include those wherein a is 1 and R<sup>1</sup> is (C<sub>1</sub>-C<sub>10</sub>)alkyl.

- 10 Other preferred compounds of formula I include those wherein R<sup>2</sup> is hydrogen.

Other preferred compounds of formula I include those wherein R<sup>3</sup> is N<sub>3</sub>, R<sup>10</sup>NH, R<sup>10</sup>C(O), R<sup>10</sup>NHC(O)NH or R<sup>10</sup>NHNHC(O)NH.

Other preferred compounds of formula I include those wherein R<sup>4</sup> is hydrogen, R<sup>14</sup>NH or R<sup>14</sup>S.

- 15 Other preferred compounds of formula I include those wherein R<sup>6</sup> is ethyl.

Other preferred compounds of formula I include those wherein W is C=O and Y is NR<sup>17</sup>.

More preferred compounds of formula I include those wherein a is 1; R<sup>1</sup> is (C<sub>1</sub>-C<sub>10</sub>)alkyl; R<sup>2</sup> is hydrogen; R<sup>3</sup> is N<sub>3</sub>, R<sup>10</sup>NH, R<sup>10</sup>C(O), R<sup>10</sup>NHC(O)NH or R<sup>10</sup>NHNHC(O)NH; R<sup>4</sup> is hydrogen, R<sup>14</sup>NH or R<sup>14</sup>S and R<sup>6</sup> is ethyl.

- 20 More preferred compounds of formula I include those wherein a is 1; R<sup>1</sup> is (C<sub>1</sub>-C<sub>10</sub>)alkyl; R<sup>2</sup> is hydrogen; R<sup>3</sup> and R<sup>4</sup> are taken together with the carbons to which they are attached to form the compound of formula II; W is C=O and Y is NR<sup>17</sup>.

Specific preferred compounds of formula I include the following:

- 25 11,12-Dideoxy-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-α-L-ribohexopyranosyl)oxy)-6-O-methyl-12,11-(iminocarbonyl(2-(3-(4-quinolinyl)propyl)hydrazono))-3-oxoerythromycin;

11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-α-L-ribohexopyranosyl)oxy)-6-O-methyl-12-iminocarbonyl((4-(4-(3-pyridinyl)-1H-imidazol-1-yl)butylimino))-3-oxoerythromycin;

- 30 11,12-Dideoxy-11,12-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-α-L-ribohexopyrano-5yl)oxy)-6-O-methyl-10-iminocarbonyl((4-(4-(3-pyridinyl)-1H-imidazol-1-yl)butylimino))-3-oxoerythromycin;

11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-α-L-ribohexopyranosyl)-oxy)-6-O-methyl-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate;

- 35 11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-α-L-ribohexopyranosyl)-oxy)-6-O-methyl-8-epi-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate;

11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-3-O-methyl-α-L-ribohexopyranosyl)oxy)-12-β-azido-6-O-methyl-3-oxoerythromycin-2'-acetate;

- 40 11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-α-L-ribohexopyranosyl)oxy)-12-β-azido-6-O-methyl-3-oxo-8-epierythromycin-2'-acetate;



- 5           11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -amino-6-O-methyl-3-oxoerythromycin-2'-acetate;  
             11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -amino-6-O-methyl-3-oxo-8-erythromycin-2'-acetate;  
             11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)ox)-12- $\beta$ -acetamino-6-O-methyl-3-oxoerythromycin-2'-acetate;  
 10           11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -azido-6-O-methyl-3-oxoerythromycin-2'-acetate;  
             11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -amino-6-O-methyl-3-oxoerythromycin-2'-acetate;  
 15           11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -acetamino-6-O-methyl-3-oxoerythromycin-2'-acetate;  
             11,12-Dideoxy-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-3-oxo-12,11-(iminocarbonylhydrazono)erythromycin-2'-acetate;  
             11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -iminocarbonylhydrazono-6-O-methyl-3-oxo-erythromycin-2'-  
 20           acetate;  
             11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -isothiocyanato-6-O-methyl-3-oxoerythromycin-2'-acetate;  
             11,Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -propagyl-6-O-methyl-3-oxoerythromycin-2'-acetate;  
 25           11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-11-nitromethyl-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate; and  
             11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-11-nitromethyl-8-epi-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate.  
 30           11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-11-nitromethyl-8-epi-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate.

The invention also relates to a pharmaceutical composition for the treatment of a disorder selected from a bacterial infection, a protozoal infection, or disorder related to a bacterial infection or protozoal infection in a mammal, fish, or bird which comprises a therapeutically effective amount of a compound of formula I, or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier.

The invention also relates to a method of treating a disorder selected from a bacterial infection, a protozoal infection, or disorder related to a bacterial infection or protozoal infection in a mammal, fish, or bird which comprises administering to said mammal, fish or bird a

5 therapeutically effective amount of a compound of formula I or a pharmaceutically acceptable salt thereof.

The invention also relates to a pharmaceutical composition for the treatment of cancer, in particular non-small cell lung cancer, in a mammal, in particular a human, which comprises a therapeutically effective amount of a compound of formula I, or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier.

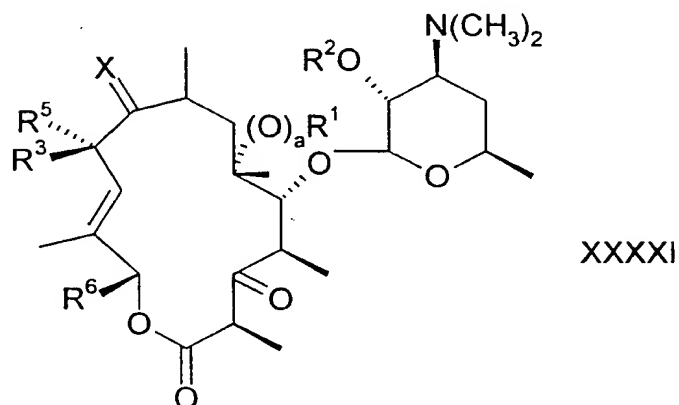
The invention also relates to a method of treating cancer, in particular non-small cell lung cancer, in a mammal, which comprises administering to said mammal a therapeutically effective amount of a compound of formula I or a pharmaceutically acceptable salt thereof.

The term "treating", as used herein, unless otherwise indicated, means reversing, alleviating, inhibiting the progress of, or preventing the disorder or condition to which such term applies, or one or more symptoms of such disorder or condition. The term "treatment", as used herein, refers to the act of treating, as "treating" is defined immediately above.

As used herein, unless otherwise indicated, the terms or phrases "bacterial infection(s)", "protozoal infection(s)", and "disorder related to a bacterial infection or protozoal infection" include the following: pneumonia, otitis media, sinusitis, bronchitis, tonsillitis, and mastoiditis related to infection by *Streptococcus pneumoniae*, *Haemophilus influenzae*, *Moraxella catarrhalis*, *Staphylococcus aureus*, or *Peptostreptococcus* spp.; pharyngitis, rheumatic fever, and glomerulonephritis related to infection by *Streptococcus pyogenes*, Groups C and G streptococci, *Clostridium diphtheriae*, or *Actinobacillus haemolyticum*; respiratory tract infections related to infection by *Mycoplasma pneumoniae*, *Legionella pneumophila*, *Streptococcus pneumoniae*, *Haemophilus influenzae*, or *Chlamydia pneumoniae*; uncomplicated skin and soft tissue infections, abscesses and osteomyelitis, and puerperal fever related to infection by *Staphylococcus aureus*, coagulase-positive staphylococci (i.e., *S. epidermidis*, *S. hemolyticus*, etc.), *Streptococcus pyogenes*, *Streptococcus agalactiae*, Streptococcal groups C-F (minute-colony streptococci), viridans streptococci, *Corynebacterium minutissimum*, *Clostridium* spp., or *Bartonella henselae*; uncomplicated acute urinary tract infections related to infection by *Staphylococcus saprophyticus* or *Enterococcus* spp.; urethritis and cervicitis; sexually transmitted diseases related to infection by *Chlamydia trachomatis*, *Haemophilus ducreyi*, *Treponema pallidum*, *Ureaplasma urealyticum*, or *Neisseria gonorrhoeae*; toxin diseases related to infection by *S. aureus* (food poisoning and toxic shock syndrome), or Groups A, B, and C streptococci; ulcers related to infection by *Helicobacter pylori*; systemic febrile syndromes related to infection by *Borrelia recurrentis*; Lyme disease related to infection by *Borrelia burgdorferi*; conjunctivitis, keratitis, and dacrocystitis related to infection by *Chlamydia trachomatis*, *Neisseria gonorrhoeae*, *S. aureus*, *S. pneumoniae*, *S. pyogenes*, *H. influenzae*, or *Listeria* spp.; disseminated *Mycobacterium avium* complex (MAC) disease related to infection by *Mycobacterium avium*, or

- 5 *Mycobacterium intracellulare*; gastroenteritis related to infection by *Campylobacter jejuni*; intestinal protozoa related to infection by *Cryptosporidium* spp.; odontogenic infection related to infection by viridans streptococci; persistent cough related to infection by *Bordetella pertussis*; gas gangrene related to infection by *Clostridium perfringens* or *Bacteroides* spp.; and atherosclerosis or cardiovascular disease related to infection by *Chlamydia pneumoniae*.
- 10 Bacterial infections and protozoal infections, and disorders related to such infections, which may be treated or prevented in animals include the following: bovine respiratory disease related to infection by *P. haemolytica*, *P. multocida*, *Mycoplasma bovis*, or *Bordetella* spp.; cow enteric disease related to infection by *E. coli* or protozoa (i.e., coccidia, cryptosporidia, etc.); dairy cow mastitis related to infection by *Staph. aureus*, *Strep. uberis*, *Strep. agalactiae*, *Strep.*
- 15 *dysgalactiae*, *Klebsiella* spp., *Corynebacterium*, or *Enterococcus* spp.; swine respiratory disease related to infection by *A. pleuro.*, *P. multocida*, or *Mycoplasma* spp.; swine enteric disease related to infection by *E. coli*, *Lawsonia intracellularis*, *Salmonella*, or *Serpulina hyodysenteriae*; cow footrot related to infection by *Fusobacterium* spp.; cow metritis related to infection by *E. coli*; cow hairy warts related to infection by *Fusobacterium necrophorum* or *Bacteroides nodosus*; cow
- 20 pink-eye related to infection by *Moraxella bovis*; cow premature abortion related to infection by protozoa (i.e. neosporium); urinary tract infection in dogs and cats related to infection by *E. coli*; skin and soft tissue infections in dogs and cats related to infection by *Staph. epidermidis*, *Staph. intermedius*, *coagulase neg. Staph.* or *P. multocida*; and dental or mouth infections in dogs and cats related to infection by *Alcaligenes* spp., *Bacteroides* spp., *Clostridium* spp., *Enterobacter*
- 25 spp., *Eubacterium*, *Peptostreptococcus*, *Porphyromonas*, or *Prevotella*. Other bacterial infections and protozoal infections, and disorders related to such infections, which may be treated or prevented in accord with the method of the present invention are referred to in J. P. Sanford et al., "The Sanford Guide To Antimicrobial Therapy," 26th Edition, (Antimicrobial Therapy, Inc., 1996).

5 The present invention also relates to a compound of the formula



or the pharmaceutically acceptable salt thereof; wherein the dashed line between positions 10 and 11 represents an optional double bond;

a is 0 or 1;

10  $R^1$  is hydrogen or  $(C_1-C_{10})$ alkyl optionally substituted by fluoro, cyano,  $R^7$ ,  $R^7O_2C$ ,  $R^7C(O)NH$  and  $R^7S(O)_n$  wherein n is 0, 1 or 2 and  $R^7$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_{12})$ alkenyl,  $(C_2-C_{12})$ alkynyl,  $(C_3-C_{10})$ cycloalkyl $(C_1-C_6)$ alkyl,  $(C_2-C_9)$ heterocycloalkyl $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three  
15 substituents independently selected from halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^8R^9N$ ,  $R^8C(O)$ ,  $R^8C(O)O$ ,  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$  wherein  $R^8$  and  $R^9$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl;

$R^2$  is hydrogen or a hydroxy protecting group;

20  $R^3$  is  $N_3$ ,  $R^{10}NH$ ,  $R^{10}C(O)NH$ ,  $R^{10}NHC(O)NH$ ,  $R^{10}NHC(S)NH$ ,  $R^{10}NHNHC(O)NH$ ,  $R^{10}ONHC(O)NH$  or  $R^{10}OC(O)NH$ , wherein  $R^{10}$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_{12})$ alkenyl,  $(C_2-C_{12})$ alkynyl,  $(C_3-C_{10})$ cycloalkyl $(C_1-C_6)$ alkyl,  $(C_2-C_9)$ heterocycloalkyl $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three  
25 substituents independently selected from halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^8R^9N$ ,  $R^8C(O)$ ,  $R^8C(O)O$ ,  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$  wherein  $R^8$  and  $R^9$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl; or  $R^3$  is  $R^{11}(C_2-C_4)$ alkynyl wherein  $R^{11}$  is  $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ alkyl $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl; or  $R^3$  is  $R^{12}R^{13}N$   
30 wherein  $R^{12}$  and  $R^{13}$  are each independently hydrogen,  $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl  $(C_1-C_6)$ alkyl;

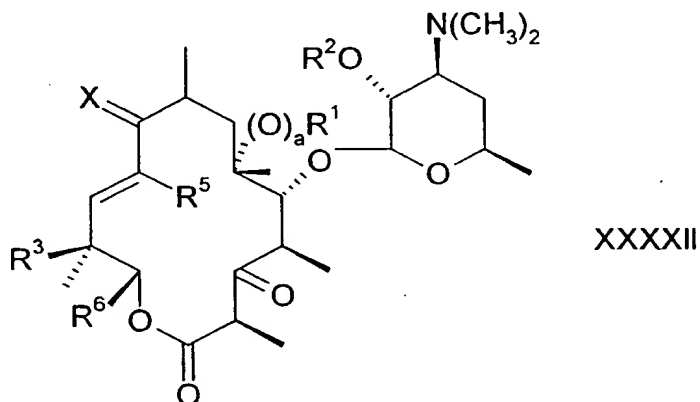
- 5 X is oxygen or NOR<sup>16</sup> wherein R<sup>16</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O),
- 10 R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O), and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen or (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>5</sup> is hydrogen or methyl; and

- R<sup>6</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl or
- 15 (C<sub>1</sub>-C<sub>6</sub>)alkylthio(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three substituents independently selected from hydroxy and halo; or R<sup>6</sup> is (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl or (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl or halo; or R<sup>6</sup> is (C<sub>2</sub>-C<sub>8</sub>)heterocycloalkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl, (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl or aryl wherein the aryl group is optionally
- 20 substituted by alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy or halo;

with the proviso that when a is zero, R<sup>1</sup> is hydrogen.

The present invention also relates to a compound of the formula



a is 0 or 1;

- 25 R<sup>1</sup> is hydrogen or (C<sub>1</sub>-C<sub>10</sub>)alkyl optionally substituted by fluoro, cyano, R<sup>7</sup>, R<sup>7</sup>O<sub>2</sub>C, R<sup>7</sup>C(O)NH and R<sup>7</sup>S(O)<sub>n</sub> wherein n is 0, 1 or 2 and R<sup>7</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O,
- 30

- 5  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$  wherein  $R^8$  and  $R^9$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl;

$R^2$  is hydrogen or a hydroxy protecting group;

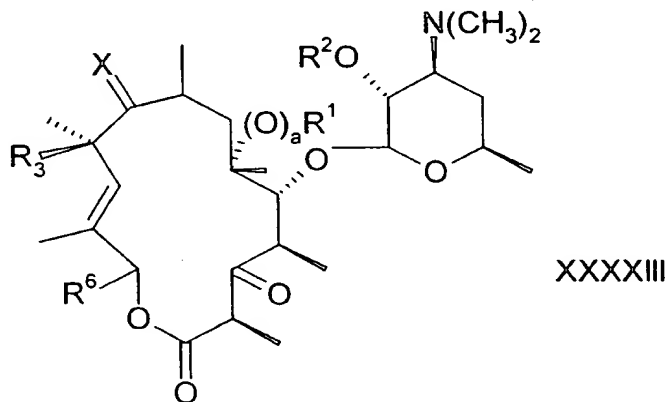
$R^3$  is  $NH_2$ ,  $N_3$ ,  $O=C=N$  or  $S=C=N$ ;

- X is oxygen or  $NOR^{16}$  wherein  $R^{16}$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_{12})$ alkenyl,  $(C_2-C_{12})$ alkynyl,  $(C_3-C_{10})$ cycloalkyl $(C_1-C_6)$ alkyl,  $(C_2-C_9)$ heterocycloalkyl $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^8R^9N$ ,  $R^8C(O)$ ,  $R^8C(O)O$ ,  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$ , wherein  $R^8$  and  $R^9$  are each independently hydrogen or  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl;

$R^5$  is hydrogen or methyl; and

- $R^6$  is hydrogen,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy $(C_1-C_6)$ alkyl or  $(C_1-C_6)$ alkylthio $(C_1-C_6)$ alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three hydroxy or halo groups; or  $R^6$  is  $(C_3-C_{10})$ cycloalkyl or  $(C_5-C_{10})$ cycloalkenyl optionally substituted by  $(C_1-C_6)$ alkyl or halo; or  $R^6$  is  $(C_2-C_8)$ heterocycloalkyl or  $(C_2-C_9)$ heteroaryl optionally substituted by  $(C_1-C_6)$ alkyl,  $(C_2-C_8)$ alkenyl,  $(C_2-C_8)$ alkynyl,  $(C_3-C_{10})$ cycloalkyl,  $(C_5-C_{10})$ cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl,  $(C_1-C_6)$ alkoxy or halo.

- 25 The present invention also relates to an intermediate compound of the formula

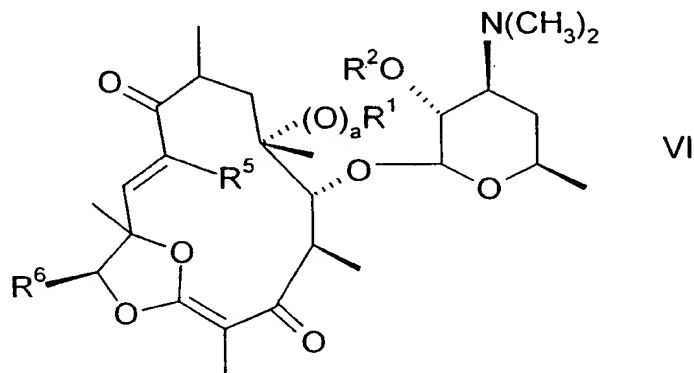


a is 0 or 1;

- $R^1$  is hydrogen or  $(C_1-C_{10})$ alkyl optionally substituted by fluoro, cyano,  $R^7$ ,  $R^7O_2C$ ,  $R^7C(O)NH$  and  $R^7S(O)_n$  wherein n is 0, 1 or 2 and  $R^7$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_{12})$ alkenyl,  $(C_2-C_{12})$ alkynyl,  $(C_3-C_{10})$ cycloalkyl $(C_1-C_6)$ alkyl,  $(C_2-C_9)$ heterocycloalkyl $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl $(C_1-$

- 5 C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;
- 10 R<sup>2</sup> is hydrogen or a hydroxy protecting group;  
R<sup>3</sup> is NH<sub>2</sub> or N<sub>3</sub>;  
X is oxygen or NOR<sup>16</sup> wherein R<sup>16</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and
- 15 heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen or (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;
- 20 R<sup>5</sup> is hydrogen or methyl; and  
R<sup>6</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>1</sub>-C<sub>6</sub>)alkylthio(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three substituents independently selected from hydroxy and halo; or R<sup>6</sup> is (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl or (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl or halo; or R<sup>6</sup> is
- 25 (C<sub>2</sub>-C<sub>8</sub>)heterocycloalkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl, (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy or halo.

The present invention also relates to a compound of the formula



- 30 a is 0 or 1;  
R<sup>1</sup> is hydrogen or (C<sub>1</sub>-C<sub>10</sub>)alkyl optionally substituted by fluoro, cyano, R<sup>7</sup>, R<sup>7</sup>O<sub>2</sub>C, R<sup>7</sup>C(O)NH and R<sup>7</sup>S(O)<sub>n</sub> wherein n is 0, 1 or 2 and R<sup>7</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-

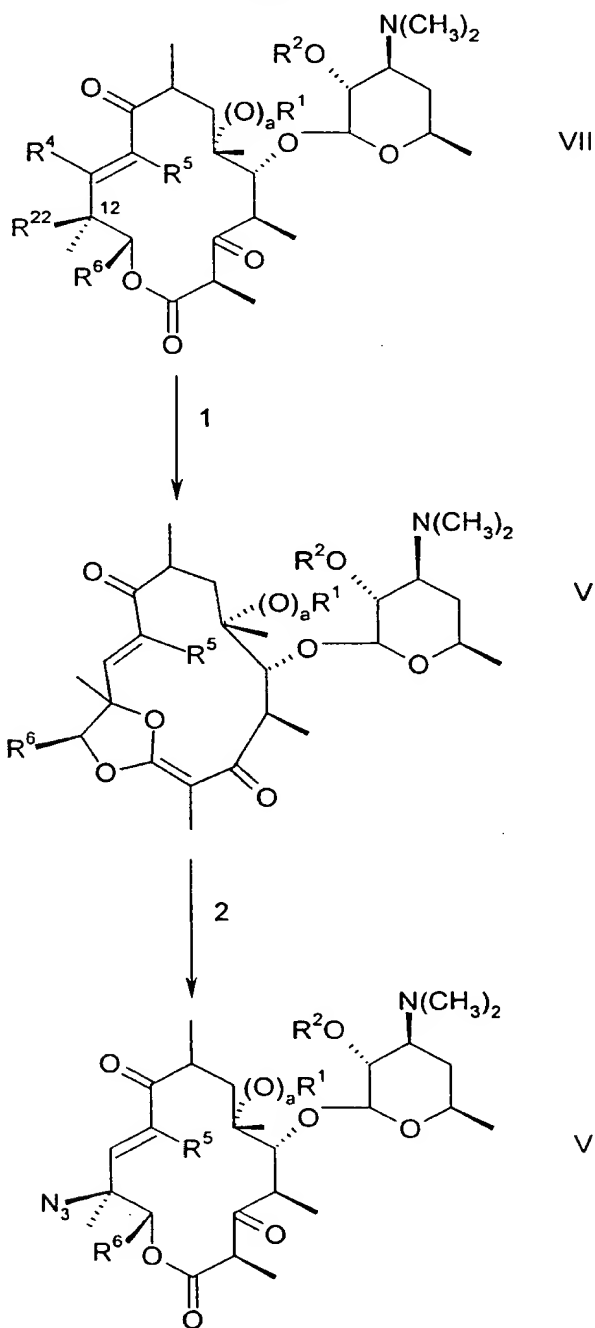
- 5 C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each
- 10 independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;
- R<sup>2</sup> is hydrogen or a hydroxy protecting group;
- R<sup>5</sup> is hydrogen or methyl; and
- R<sup>6</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>1</sub>-C<sub>6</sub>)alkylthio(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally
- 15 substituted by one to three substituents independently selected from hydroxy and halo; or R<sup>6</sup> is (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl or (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl or halo; or R<sup>6</sup> is (C<sub>2</sub>-C<sub>8</sub>)heterocycloalkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl, (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy or halo.



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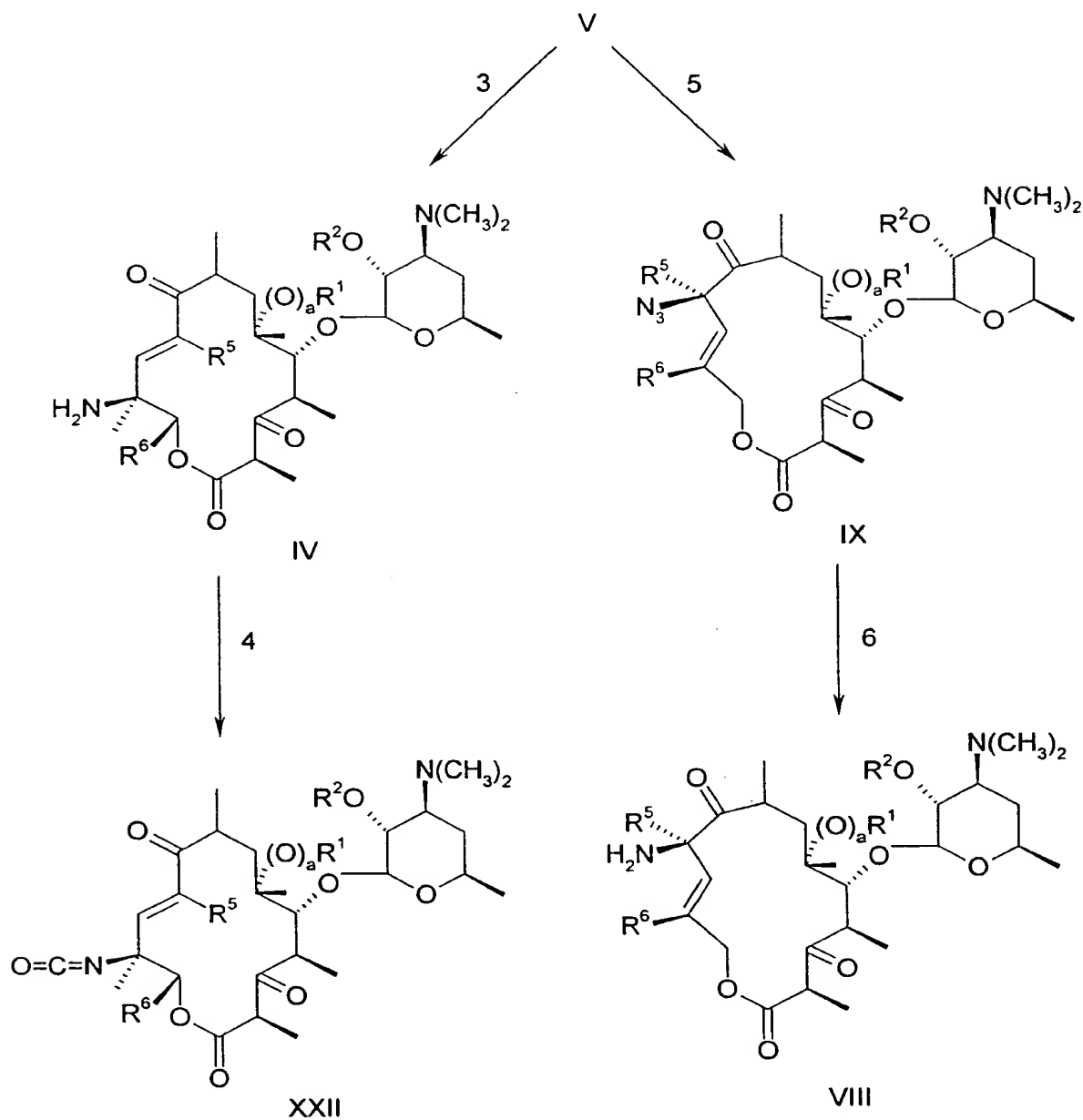
Detailed Description of the Invention

The following reaction Schemes illustrate the preparation of the compounds of the present invention. Unless otherwise indicated a,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$  and  $R^6$  in the reaction Schemes and the discussion that follow are defined as above.

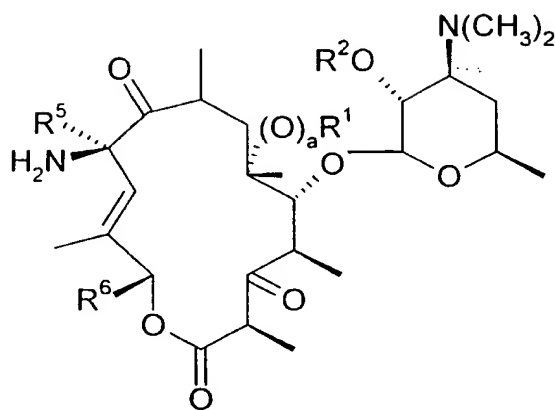
Preparation A

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Preparation A (continued)

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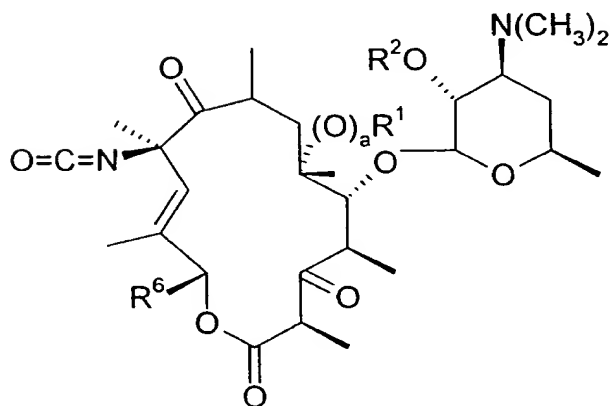
Preparation B

VIII

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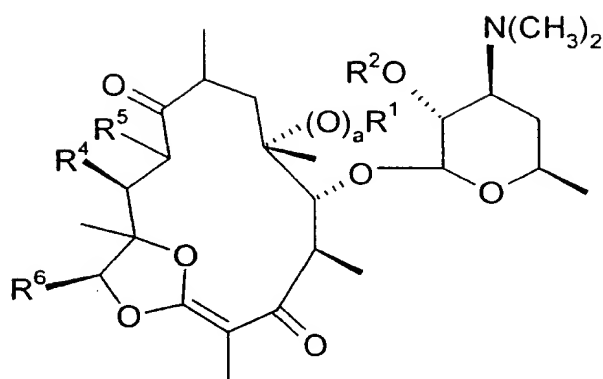
XIII

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Preparation C

VI

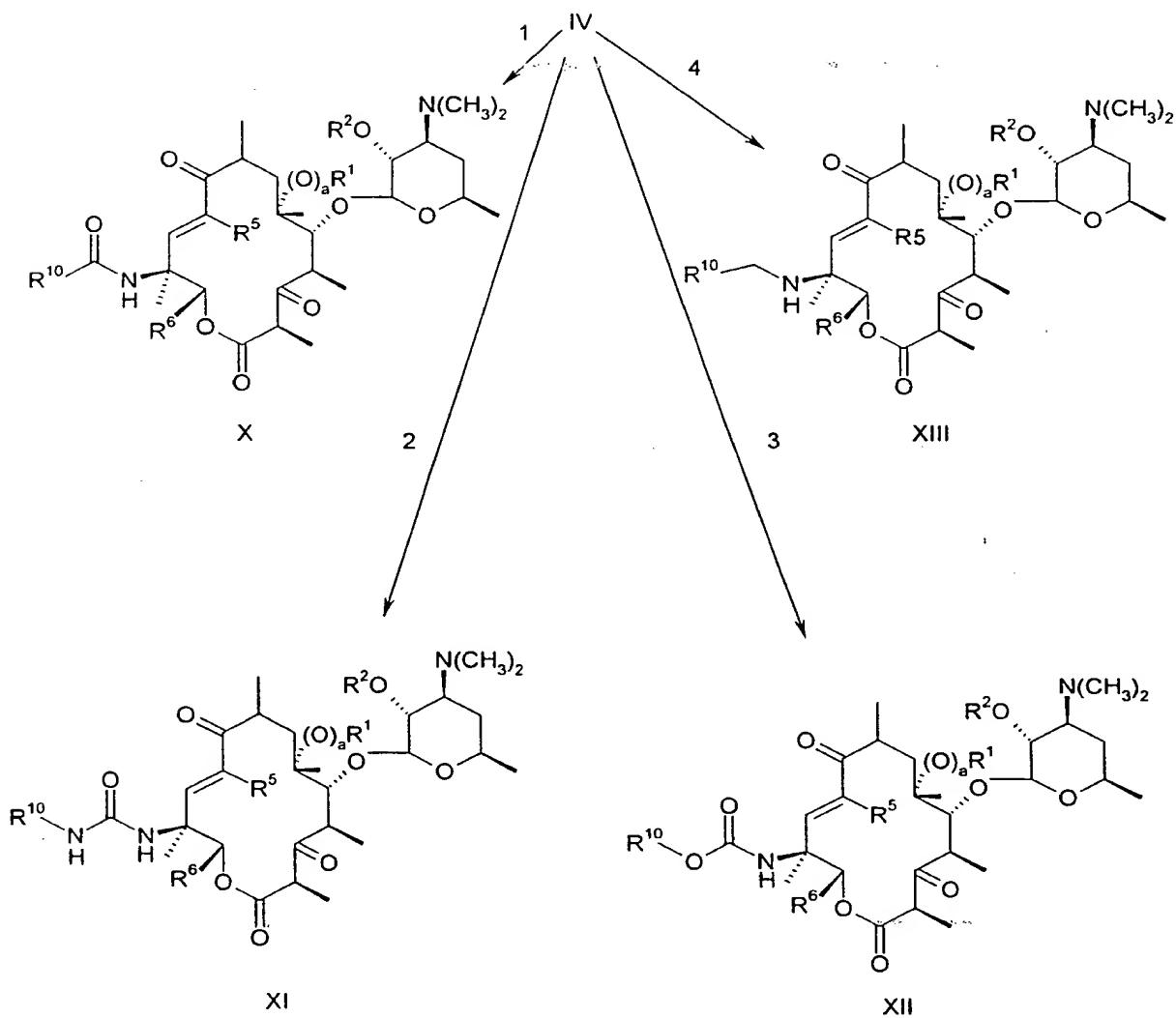
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XXXIX

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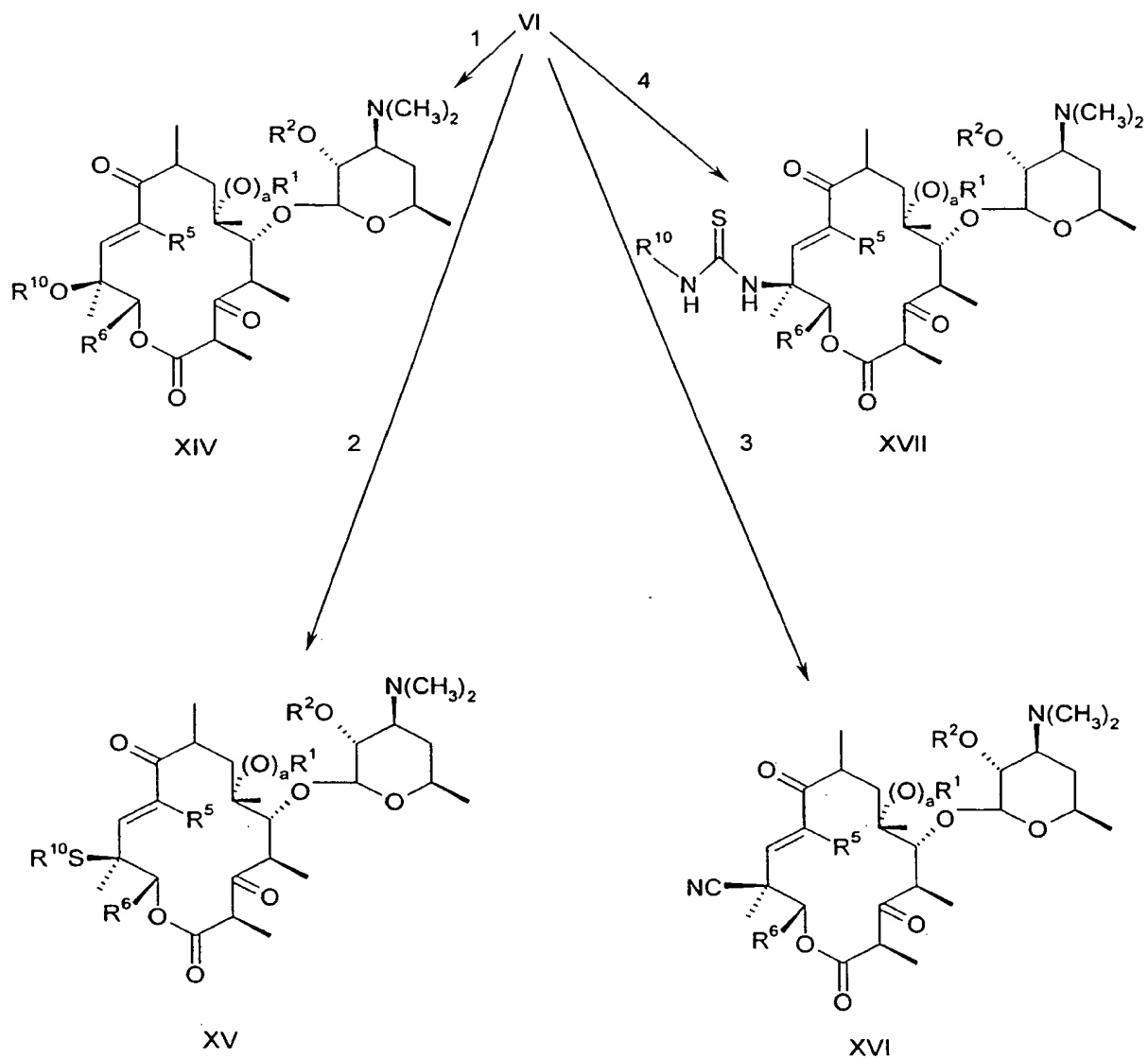
Scheme 1



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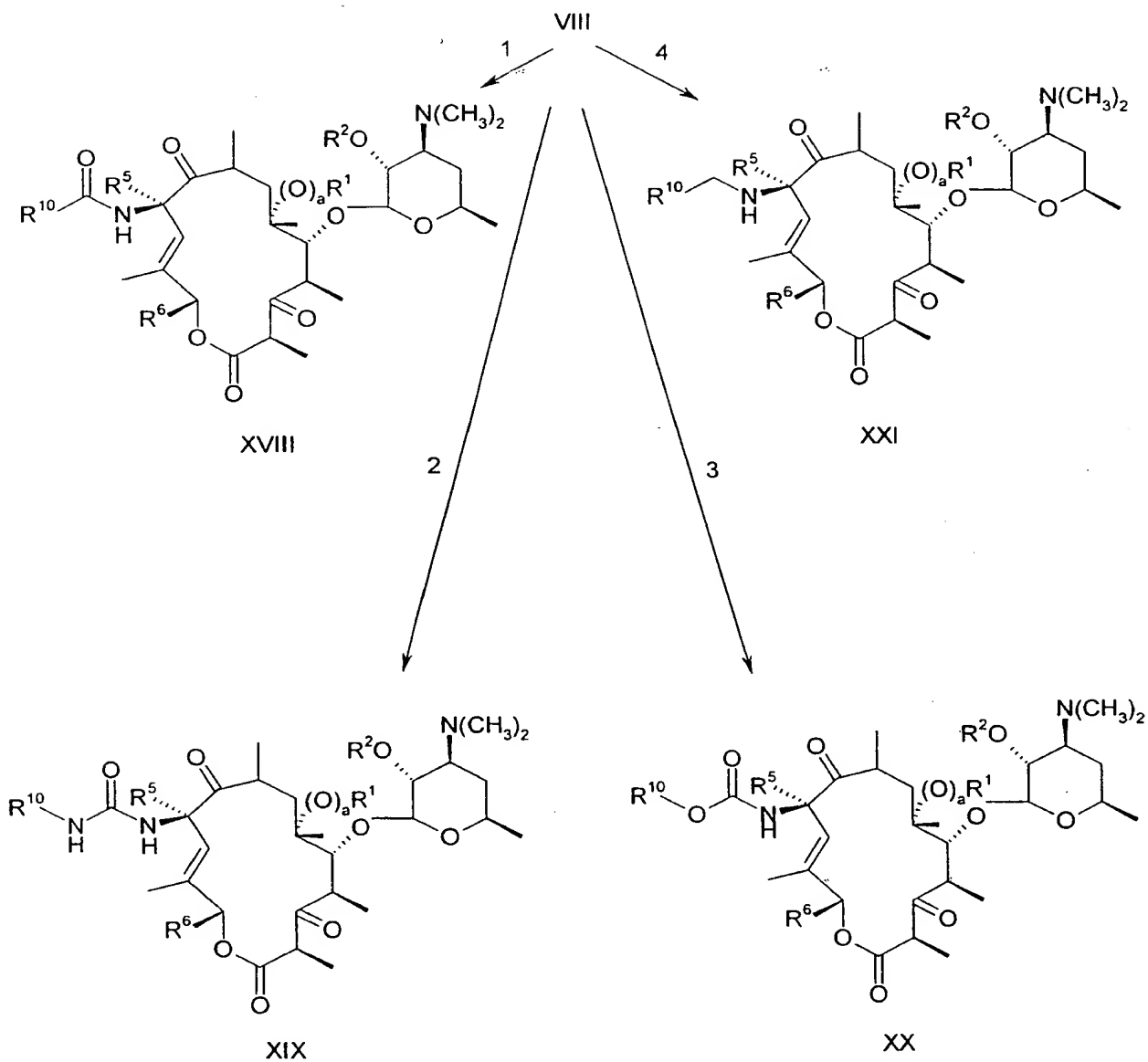
Scheme 2



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Scheme 3

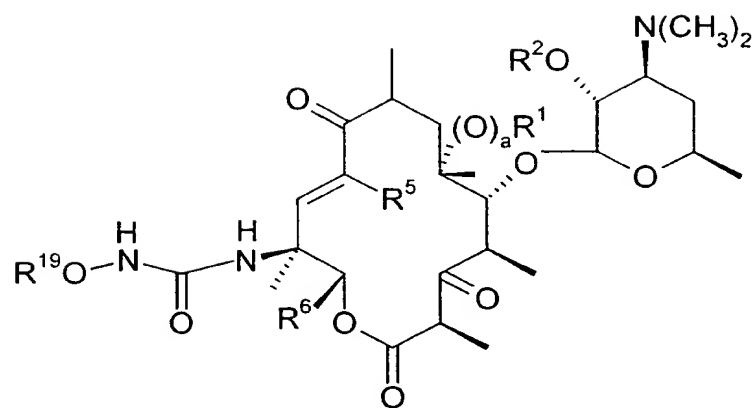
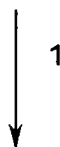


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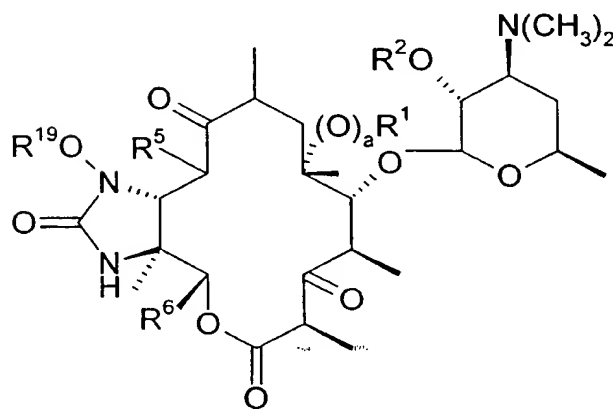
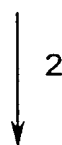
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## Scheme 4

XXII



XXIII



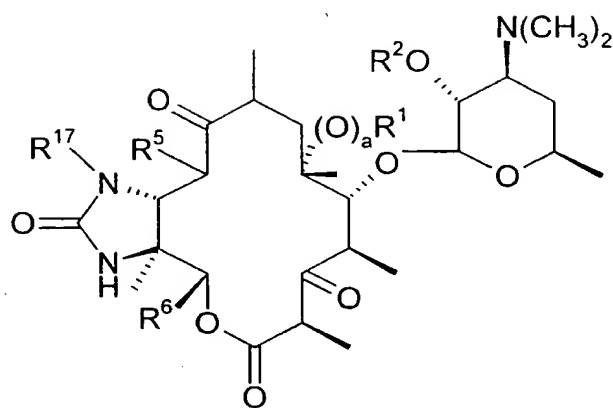
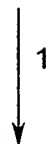
XXIV



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Scheme 5

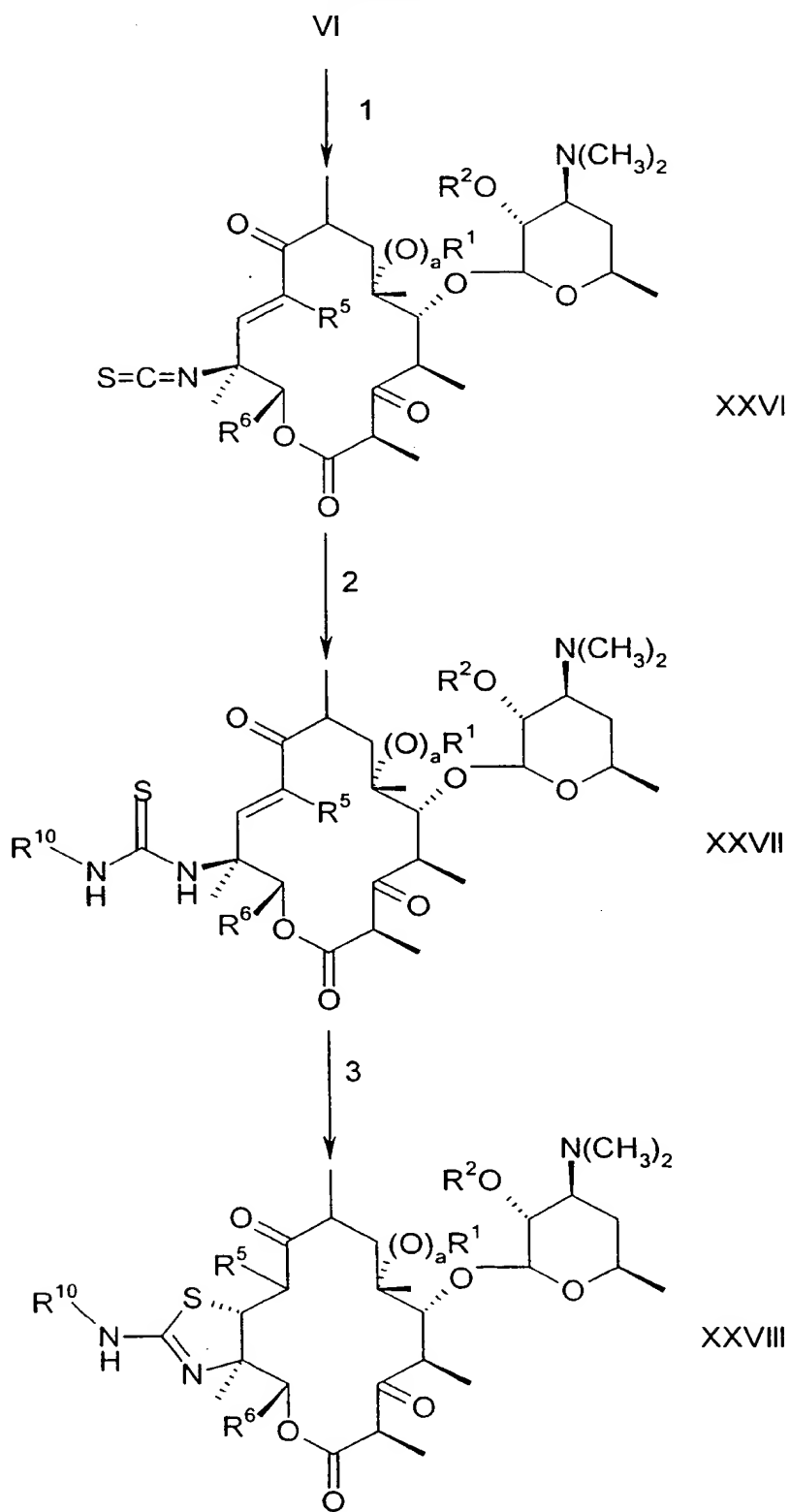
XI



XXV

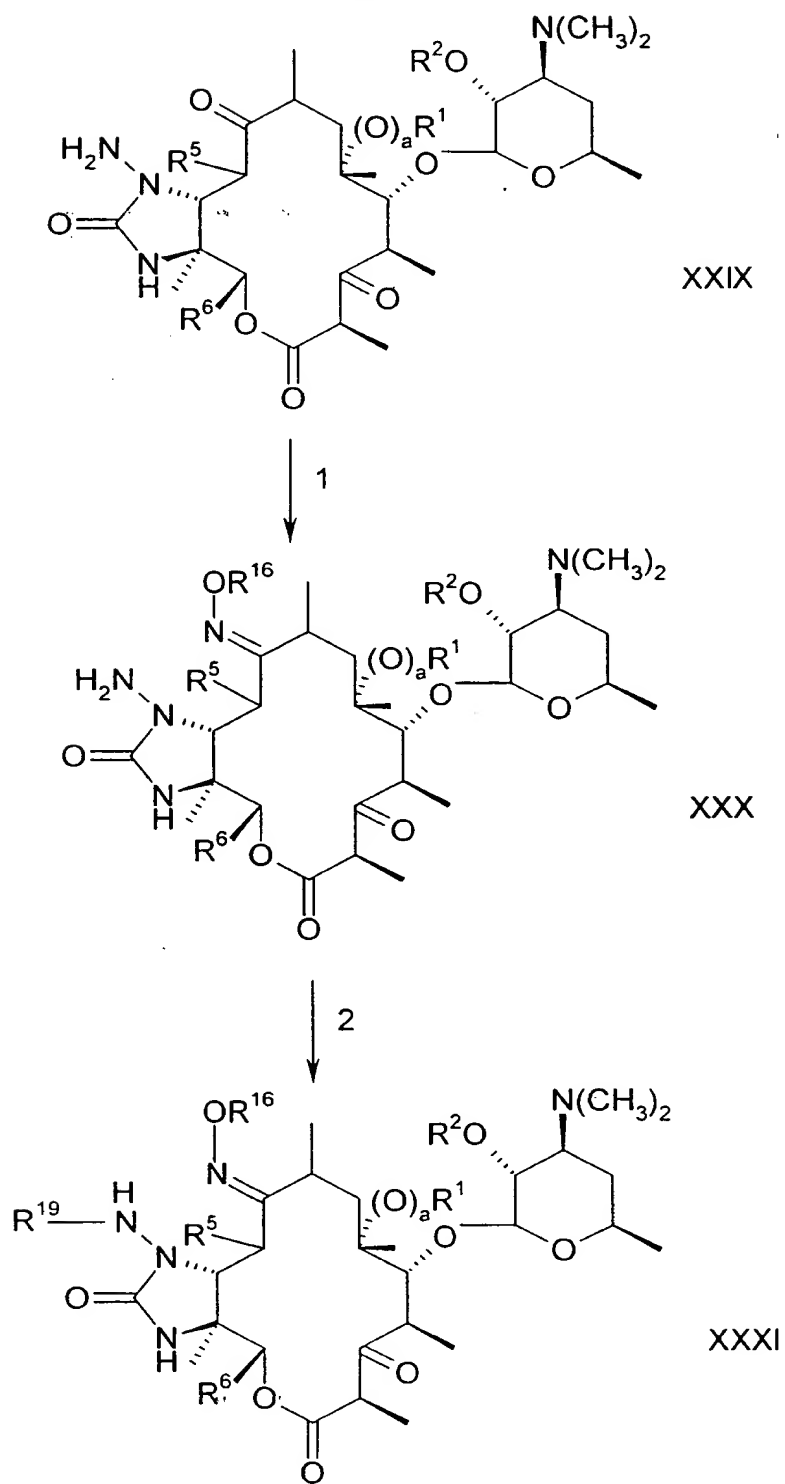
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Scheme 6



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Scheme 7



XXXII

1

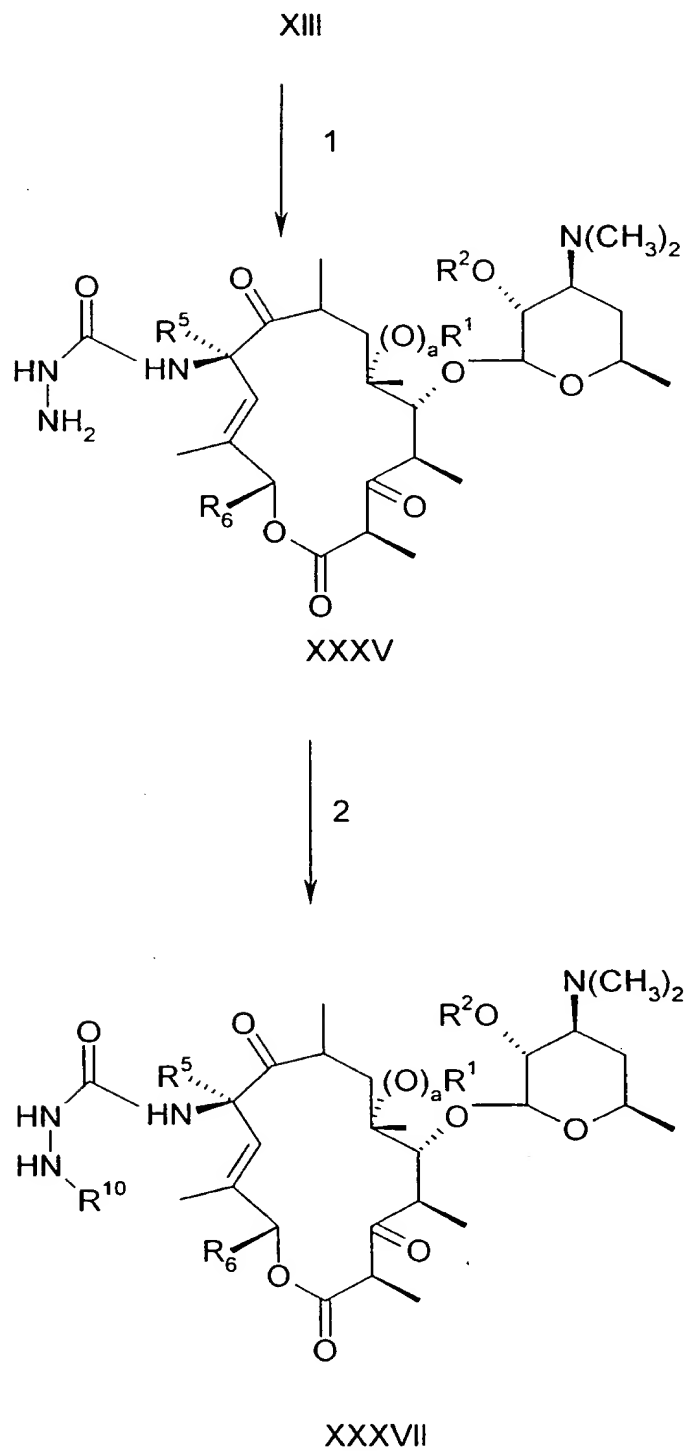
XXXIII

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XXXIV

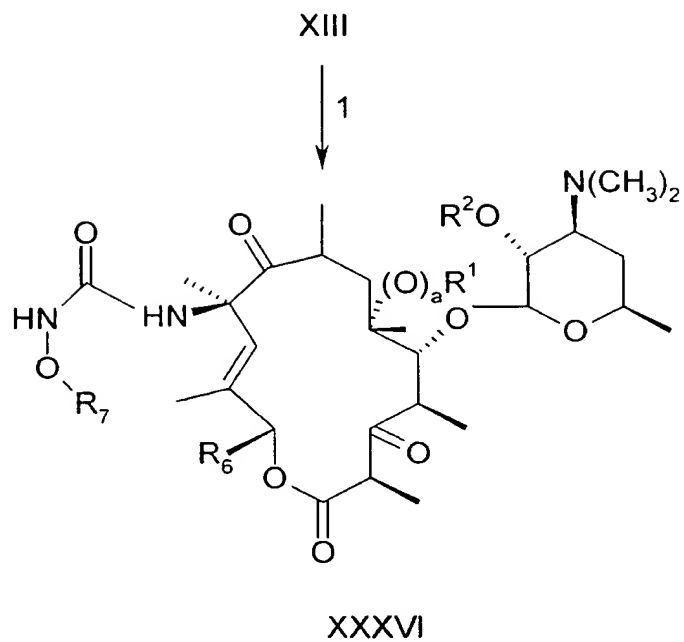
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## Scheme 9



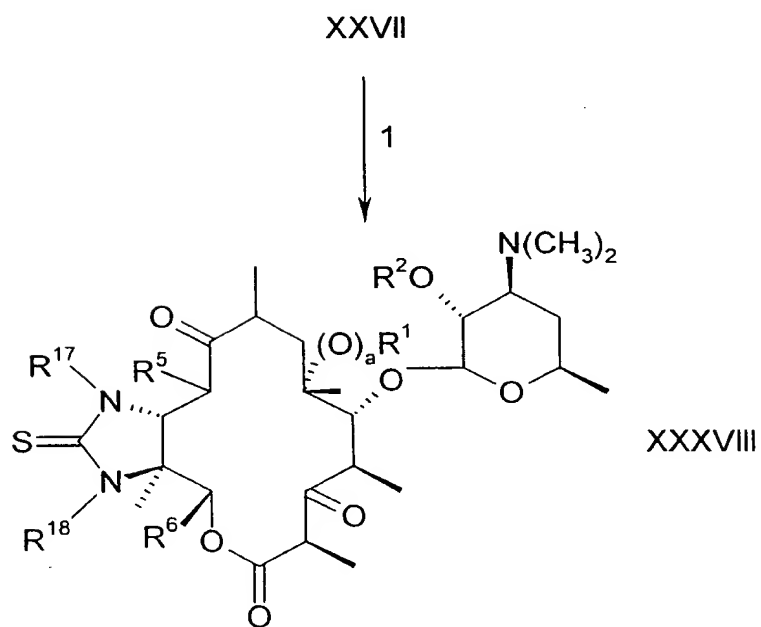
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Scheme 10



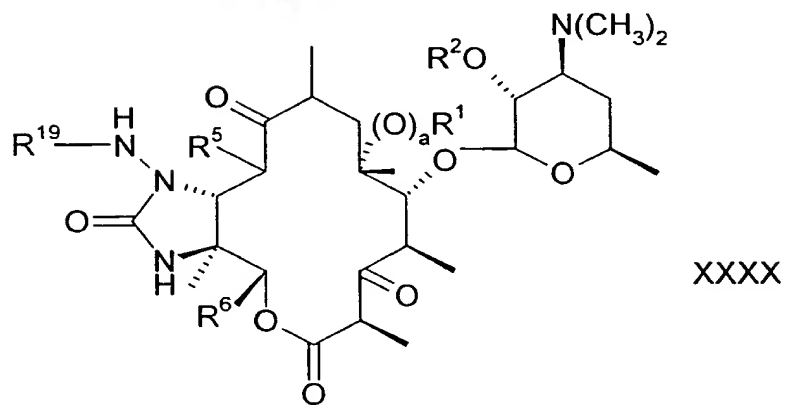
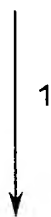
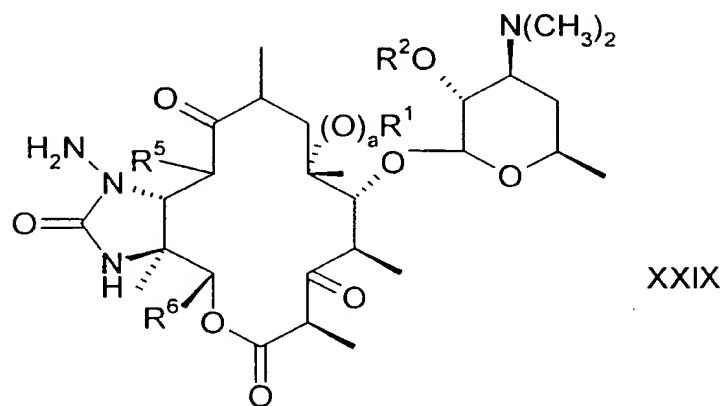
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Scheme 11



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## Scheme 12



5           In reaction 1 of Preparation A, the compound of formula **VII**, wherein  $R^{22}$  is a good leaving group, such as  $(C_1-C_6)$ alkylsulfonyloxy,  $(C_6-C_{10})$ arylsulfonyloxy,  $(C_1-C_6)$ acyloxy or imidizolylcarbonyloxy, is converted to the corresponding ketene acetal compound of formula **VI** by treating **VII** with a base, such as 1,8-diazabicyclo[5.4.0]undec-7-ene, 1,5-diazabicyclo[4.3.0]non-5-ene, ethyldiisopropylamine, triethylamine, lithium hexamethyldisilazide  
10 or potassium hexamethyldisilazide, preferably 1,8-diazabicyclo[5.4.0]undec-7-ene, in the presence of a polar aprotic solvent, such as acetonitrile, dimethylformamide, tetrahydrofuran, preferably acetonitrile. The reaction is stirred at a temperature between about 20°C to about 100°C, preferably about 80°C, for a time period between about 0.5 hours to about 6 hours, preferably about 2 hours.

15           In reaction 2 of Preparation A, the ketene acetal compound of formula **VI** is converted to the corresponding azide compound of formula **V** by reacting **VI** with an azidation reagent, such as azidotrimethylsilane, sodium azide or tributyltin azide, preferably azidotrimethylsilane, in the presence of a Lewis acid, such as tin(IV)chloride, titanium(IV)chloride, boron trifluoride diethyl etherate or aluminum trichloride, preferably tin(IV)chloride, and an aprotic solvent. Suitable  
20 solvents include dichloromethane, dichloroethane, chloroform or carbontetrachloride, preferably dichloromethane. The reaction is carried out at a temperature between about -78°C to about 25°C, preferably about 0°C, for a time period between about 3 hours to about 12 hours, preferably about 6 hours.

          In reaction 3 of Preparation A, the azide compound of formula **V** is converted to the  
25 corresponding amino compound of formula **IV** by reducing **V** in the presence of hydrogen, a catalyst, such as palladium on carbon, palladium on calcium carbonate, platinum(IV)oxide or ruthenium on carbon, preferably palladium on calcium carbonate, and a solvent, such as ethanol, methanol or ethyl acetate, preferably ethanol. The reaction is carried out under a pressure of about 1 psi to about 50 psi, preferably about 20 psi, at a temperature between about 0°C to about  
30 50°C, preferably about 25°C, for a time period between 1 hours to about 6 hours, preferably about 2.5 hours.

          In reaction 4 of Preparation A, the amino compound of formula **IV** is converted to the corresponding isocyanate compound of formula **XXII** by reacting **IV** with phosgene or triphosgene in the presence of a base, such as triethylamine or pyridine, and an aprotic solvent, such as  
35 tetrahydrofuran or dioxane. The reaction is carried out at a temperature between about 0°C to about 50°C, preferably about 0°C, for a time period between 0.5 hours to about 12 hours, preferably about 2 hours.

          In reaction 5 of Preparation A, the compound of formula **V** is converted to the corresponding compound of formula **IX** by heating **V** to a temperature between about 30°C to



5 about 100°C, preferably about 70°C, in ethanol, tetrahydrofuran, or dioxane for a time period between about 0.5 hours to about 6 hours, preferably about 2 hours.

In reaction 6 of Preparation A, the azide compound of formula **IX** is converted to the corresponding amino compound of formula **VIII** according to the procedure described above in reaction 3 of Preparation A.

10 In reaction 1 of Preparation B, the amino compound of formula **VIII** is converted to the corresponding isocyanate compound of formula **XIII** according to the procedure described above in reaction 4 of Scheme A.

In reaction 1 of Preparation C, the ketene acetal compound of formula **VI** is converted to the corresponding compound of formula **XXXIX**, when  $R^4$  is methylene substituted by one to two  
15 nitro,  $R^{14}O_2C$  or cyano groups, by reacting **VI** with a compound of the formula,  $R^4H$ , in the presence of a base, such as 1,8-diazabicyclo[5.4.0]undec-7-ene, 1,5-diazabicyclo[4.3.0]non-5-ene, triethylamine, sodium hydride or lithium bis(trimethylsilyl)amide, preferably as 1,8-diazabicyclo[5.4.0]undec-7-ene, and an aprotic solvent, such as tetrahydrofuran, acetonitrile or dimethylformamide, preferably acetonitrile. The reaction is carried out at a temperature between  
20 about -20°C to about 100°C, preferably about 80°C, for a time period between about 0.5 hours to about 6 hours, preferably about 2 hours.

In reaction 1 of Scheme 1, the amino compound of formula **IV** is converted to the corresponding amide compound of formula **X** by reacting **IV** with a compound of the formula,  $R^{10}-CO-X$ , wherein X is chloro, bromo or an anhydride, in the presence of a base, such as pyridine or  
25 triethylamine. Suitable solvents include dichloromethane, dichloroethane, tetrahydrofuran or dioxane, preferably tetrahydrofuran. The reaction is stirred at a temperature between about 0°C to about 50°C, preferably about 0°C, for a time period between about 1 hours to about 24 hours, preferably about 12 hours. The amide formation of the compound of formula **X** can also be effected by reacting **IV** with the carboxylic acid compound of the formula,  $R^{10}-COOH$ , in the  
30 presence of a dehydrating agent, such as 1,3-dicyclohexylcarbodiimide or 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide.

In reaction 2 of Scheme 1, the amino compound of formula **IV** is converted to the corresponding urea compound of formula **XI** by reacting **IV** with phosgene or triphosgene in the presence of a base, such as triethylamine or pyridine, and an aprotic solvent, such as  
35 tetrahydrofuran or dioxane. An amine of the formula,  $R^{10}NH_2$ , is added to the reaction mixture so formed in the presence of tetrahydrofuran, dioxane or dimethylformamide. The reaction is carried out at a temperature between about 0°C to about 100°C, preferably about 65°C, for a time period between about 0.5 hours to about 12 hours, preferably about 6 hours. The urea formulation of the compound of formula **XI** can also be effected by reacting **IV** with a compound of the formula,  
40  $R^{10}N=C=O$ , in the presence of an aprotic solvent, such as tetrahydrofuran, dioxane or

5 dimethylformamide. The reaction is carried out at a temperature between about 0°C to about 50°C, preferably about 25°C, for a time period between about 0.5 hours to about 24 hours, preferably about 12 hours.

In reaction 3 of Scheme 1, the amino compound of formula IV is converted to the corresponding carbamate compound of formula XII by reacting IV with a chloroformate of the  
10 formula,  $R^{10}COCl$ , in the presence of a base, such as triethylamine, pyridine or ethyldiisopropylamine, and an aprotic solvent. Suitable solvents include tetrahydrofuran, dioxane or dimethylformamide. The reaction is carried out at a temperature between about 0°C to about 50°C, preferably about 25°C, for a time period between about 0.5 hours to about 24 hours, preferably about 6 hours. The compound of formula XII can also be prepared by reacting the  
15 compound of the formula XXII with an alcohol of the formula  $R^{10}OH$ .

In reaction 4 of Scheme 1, the amino compound of formula IV is converted to the corresponding compound of formula XIII by the reductive amination of IV by use of an aldehyde of the formula,  $R^{10}CHO$ , or ketone, and a reducing agent, such as sodium cyanoborohydride, sodium triacetoxymethylborohydride or hydrogen in the presence of a catalyst, such as palladium on  
20 carbon. Suitable solvents include ethanol or methanol. The reaction mixture is stirred at a temperature between about 0°C to about 50°C, preferably about 25°C, for a time period between about 0.5 hours to about 24 hours, preferably about 12 hours.

In reaction 1 of Scheme 2, the ketene acetal compound of formula VI is converted to the corresponding compound of formula XIV by reacting VI with an alcohol compound of the formula,  
25  $R^{10}-OH$ , in the presence of an acid, such as tin(IV)chloride, titanium(IV)chloride, titanium(IV)isopropoxide or boron trifluoride diethyl etherate, and an aprotic solvent, such as methylene chloride and dichloroethane. The reaction is carried out at a temperature between about -78°C to room temperature, preferably about 0°C, for a time period between about 1 hour to about 24 hours, preferably about 6 hours.

In reaction 2 of Scheme 2, the ketene acetal compound of formula VI is converted to the corresponding compound of formula XV by reacting VI with a thiol compound of the formula,  $R^{10}-SH$ , in the presence of an acid, such as tin(IV)chloride, titanium(IV)chloride, titanium(IV)isopropoxide or boron trifluoride diethyl etherate, and a polar aprotic solvent, such as methylene chloride. The reaction is carried out at a temperature between about -78°C to room  
35 temperature, preferably about 0°C, for a time period between about 1 hour to about 24 hours, preferably about 6 hours.

In reaction 3 of Scheme 2, the ketene acetal compound of formula VI is converted to the corresponding cyano compound of formula XVI by reacting VI with trimethylsilyl cyanide or tetrabutylammonium cyanide in the presence of an acid, such as tin(IV)chloride, and an aprotic  
40 solvent such as dichloromethane and dichloroethane. The reaction is carried out at a

5 temperature between about -78°C to room temperature, preferably about 0°C, for a time period between about 1 hour to about 24 hours, preferably about 6 hours.

In reaction 4 of Scheme 2, the ketene acetal compound of formula **VI** is converted to the corresponding compound of formula **XVII** by reacting **VI** with trimethylsilyl isothiocyanate in the presence of an acid, such as tin(IV)chloride, and an aprotic solvent, such as methylene chloride, dichloroethane tetrahydrofuran or dioxane for a time period between about 1 hour to about 24 hours, preferably about 6 hours. An amine of the formula,  $R^{10}NH_2$ , is added to the reaction mixture so formed in the presence of tetrahydrofuran, dioxane or dimethylformamide. The reaction is carried out at a temperature between about 0°C to about 50°C, preferably about 25°C, for a time period between about 0.5 hours to about 24 hours, preferably about 6 hours.

15 In reaction 1 of Scheme 3, the amino compound of formula **VIII** is converted to the corresponding amide compound of formula **XVIII** according to the procedure described above in reaction 1 of Scheme 1.

In reaction 2 of Scheme 3, the amino compound of formula **VIII** is converted to the corresponding urea compound of formula **XIX** according to the procedure described above in reaction 2 of Scheme 1.

In reaction 3 of Scheme 3, the amino compound of formula **VIII** is converted to the corresponding carbamate compound of formula **XX** according to the procedure described above in reaction 3 of Scheme 1.

25 In reaction 4 of Scheme 3, the amino compound of formula **VIII** is converted to the corresponding compound of formula **XXI** according to the procedure described above in reaction 4 of Scheme 1.

In reaction 1 of Scheme 4, the isocyanate compound of formula **XXII** is converted to the corresponding compound of formula **XXIII** by reacting **XXII** with a compound of the formula,  $R^{19}ONH_2$ , in the presence of an aprotic solvent, such as tetrahydrofuran, dioxane or dimethylformamide. The reaction is carried out at a temperature between about 0°C to about 100°C, preferably about 25°C, for a time period between about 0.5 hours to about 12 hours, preferably about 6 hours.

35 In reaction 2 of Scheme 4, the compound of formula **XXIII** is converted to the corresponding cyclic urea compound of formula **XIV** by heating **XXIII** in the presence or absence of potassium hydroxide, sodium hydroxide, potassium tert-butoxide or acetic acid and a solvent, such as toluene, benzene or dimethylformamide. The reaction is carried out at a temperature between about 25°C to about 100°C, preferably about 80°C, for a time period between 0.5 hours to about 12 hours, preferably about 3 hours.

5 In reaction 1 of Scheme 5, the urea compound of formula **XI** is converted to the corresponding cyclic urea compound of formula **XXV** according to the procedure described above in reaction 2 of Scheme 4.

In reaction 1 of Scheme 6, the ketene acetal compound of formula **IV** is converted to the corresponding thioisocyanate compound of formula **XXVI** by reacting **IV** with trimethylsilyl isothiocyanate in the presence of a Lewis acid, such as tin(IV)chloride, titanium(IV)chloride, boron trifluoride diethyl etherate or aluminum trichloride, preferably tin(IV)chloride, and an aprotic solvent. Suitable solvents include chloromethane, dichloroethane, chloroform or carbontetrachloride, preferably dichloromethane. The reaction is carried out at a temperature between about -78°C to about 50°C, preferably about 0°C, for a time period between about 0.5 hours to about 24 hours, preferably about 12 hours.

In reaction 2 of Scheme 6, the thioisocyanate of formula **XXVI** is converted to the corresponding thiourea compound of formula **XXVII** according to the procedure described above in reaction 2 of Scheme 1.

In reaction 2 of Scheme 6, the thiourea compound of formula **XXVII** is converted to the corresponding aminothiazoline compound of formula **XXVIII** according to the procedure described above in reaction 2 of Scheme 4.

In reaction 1 of Scheme 7, the cyclic urea compound of formula **XXIX** is converted to the corresponding compound of formula **XXX** by reacting **XXIX** with a compound of the formula,  $\text{NHOR}^{16}$ , in the presence of ethanol or pyridine. The reaction is carried out at a temperature between about 25°C to about 100°C, preferably about 80°C, for a time period between about 1 hour to about 48 hours, preferably about 24 hours.

In reaction 2 of Scheme 7, the compound of reaction **XXX** is converted to the corresponding compound of formula **XXXI** by reacting **XXX** with an aldehyde compound of the formula,  $\text{R}^{19}\text{CHO}$ , in the presence of sodium borohydride and a polar aprotic solvent, such as methanol or ethanol, preferably methanol. The reaction is carried out at a temperature between about 0°C to about 50°C, preferably about 25°C, for a time period between about 0.5 hours to about 24 hours, preferably about 12 hours.

In reaction 1 of Scheme 8, the amino compound of formula **XXXII** is converted to the corresponding compound of formula **XXXIII** by reacting **XXXII** with sulfonyl diimidazole, sulfonyl chloride or thionyl chloride in the presence of a base, such as triethylamine or pyridine and an aprotic solvent, such as tetrahydrofuran, dioxane or methylene chloride. The reaction is carried out at a temperature between about -78°C to about 25°C, preferably about 0°C, for a time period between about 0.5 hours to about 24 hours, preferably about 12 hours. An amine of the formula,  $\text{R}^{17}\text{NH}_2$ , is added to the reaction mixture so formed in the presence of a base, such as triethylamine or pyridine and an aprotic solvent, such as tetrahydrofuran, dioxane or methylene chloride. The

5 reaction is carried out at a temperature between about 0°C to about 25°C, preferably about 0°C, for a time period between about 0.5 hours to about 24 hours, preferably about 6 hours.

In reaction 2 of Scheme 8, the compound of formula XXXIII is converted the corresponding to the compound of formula XXXIV by heating XXXIII in tetrahydrofuran or dimethylformamide in the presence or absence of potassium tert-butoxide or acetic acid. The reaction is carried out at a  
10 temperature between about 60°C to about 100°C, preferably about 85°C, for a time period between about 0.5 hours to about 12 hours, preferably about 2 hours.

In reaction 1 of Scheme 9, the compound of formula XIII is converted to the corresponding compound of formula XXXV according to the procedure described above in reaction 1 of Scheme 4.

In reaction 2 of Scheme 9, the compound of formula XXXV is converted to the  
15 corresponding compound of formula XXXVII by reacting XXXV with an aldehyde of the formula, R<sup>1</sup>CHO, and a reducing agent, such as sodium cyanoborohydride. Suitable solvents include methanol, ethanol or dichloroethane. The reaction mixture is stirred at a temperature between about 0°C to about 50°C, preferably about 25°C, for a time period between about 0.5 hours to about 24 hours, preferably about 12 hours.

20 In reaction 1 of Scheme 10, the isocyanate compound of formula XIII is converted to the corresponding compound of formula XXXVI according to the procedure described above in reaction 1 of Scheme 4.

In reaction 1 of Scheme 11, the thiourea compound of formula XXVII is converted to the corresponding cyclic thiourea compound of formula XXXVIII according to the procedure described  
25 above in reaction 2 of Scheme 4.

In reaction 1 of Scheme 12, the compound of formula XXIX is converted to the corresponding compound of formula XXXX according to the procedure described above in reaction 2 of Scheme 9.

The starting compound of formula VII can be prepared as described in United States patent  
30 5,543,400. The starting compounds of formula VII where R<sup>1</sup> is various groups can be prepared as described in WO 98/09978.

The compounds of the present invention that are basic in nature are capable of forming a wide variety of different salts with various inorganic and organic acids. Although such salts must be pharmaceutically acceptable for administration to animals, it is often desirable in practice to initially  
35 isolate the compound of the present invention from the reaction mixture as a pharmaceutically unacceptable salt and then simply convert the latter back to the free base compound by treatment with an alkaline reagent and subsequently convert the latter free base to a pharmaceutically acceptable acid addition salt. The acid addition salts of the base compounds of this invention are readily prepared by treating the base compound with a substantially equivalent amount of the  
40 chosen mineral or organic acid in an aqueous solvent medium or in a suitable organic solvent, such

5 as methanol or ethanol. Upon careful evaporation of the solvent, the desired solid salt is readily obtained. The desired acid salt can also be precipitated from a solution of the free base in an organic solvent by adding to the solution an appropriate mineral or organic acid.

Those compounds of the present invention that are acidic in nature, are capable of forming base salts with various pharmacologically acceptable cations. Examples of such salts include the  
10 alkali metal or alkaline-earth metal salts and particularly, the sodium and potassium salts. These salts are all prepared by conventional techniques. The chemical bases which are used as reagents to prepare the pharmaceutically acceptable base salts of this invention are those which form non-toxic base salts with the acidic compounds of the present invention. Such non-toxic base salts include those derived from such pharmacologically acceptable cations as sodium, potassium  
15 calcium and magnesium, etc. These salts can easily be prepared by treating the corresponding acidic compounds with an aqueous solution containing the desired pharmacologically acceptable cations, and then evaporating the resulting solution to dryness, preferably under reduced pressure. Alternatively, they may also be prepared by mixing lower alkanolic solutions of the acidic compounds and the desired alkali metal alkoxide together, and then evaporating the resulting  
20 solution to dryness in the same manner as before. In either case, stoichiometric quantities of reagents are preferably employed in order to ensure completeness of reaction and maximum yields of the desired final product.

The activity of the compounds of the present invention against bacterial and protozoa pathogens is demonstrated by the compound's ability to inhibit growth of defined strains of  
25 human (Assay I) or animal (Assays II and III) pathogens.

#### Assay I

Assay I, described below, employs conventional methodology and interpretation criteria and is designed to provide direction for chemical modifications that may lead to compounds that circumvent defined mechanisms of macrolide resistance. In Assay I, a panel of bacterial strains  
30 is assembled to include a variety of target pathogenic species, including representatives of macrolide resistance mechanisms that have been characterized. Use of this panel enables the chemical structure/activity relationship to be determined with respect to potency, spectrum of activity, and structural elements or modifications that may be necessary to obviate resistance mechanisms. Bacterial pathogens that comprise the screening panel are shown in the table  
35 below. In many cases, both the macrolide-susceptible parent strain and the macrolide-resistant strain derived from it are available to provide a more accurate assessment of the compound's ability to circumvent the resistance mechanism. Strains that contain the gene with the designation of *ermA/ermB/ermC* are resistant to macrolides, lincosamides, and streptogramin B antibiotics due to modifications (methylation) of 23S rRNA molecules by an Erm methylase,  
40 thereby generally prevent the binding of all three structural classes. Two types of macrolide

- 5 efflux have been described; *msrA* encodes a component of an efflux system in staphylococci that prevents the entry of macrolides and streptogramins while *mefA/E* encodes a transmembrane protein that appears to efflux only macrolides. Inactivation of macrolide antibiotics can occur and can be mediated by either a phosphorylation of the 2'-hydroxyl (*mph*) or by cleavage of the macrocyclic lactone (esterase). The strains may be characterized using conventional
- 10 polymerase chain reaction (PCR) technology and/or by sequencing the resistance determinant. The use of PCR technology in this application is described in J. Sutcliffe et al., "Detection Of Erythromycin-Resistant Determinants By PCR", *Antimicrobial Agents and Chemotherapy*, 40(11), 2562-2566 (1996). The assay is performed in microtiter trays and interpreted according to
- 15 Performance Standards for Antimicrobial Disk Susceptibility Tests - Sixth Edition; Approved Standard, published by The National Committee for Clinical Laboratory Standards (NCCLS) guidelines; the minimum inhibitory concentration (MIC) is used to compare strains. Compounds are initially dissolved in dimethylsulfoxide (DMSO) as 40 mg/ml stock solutions.

Strain Designation	Macrolide Resistance Mechanism(s)
<i>Staphylococcus aureus</i> 1116	susceptible parent
<i>Staphylococcus aureus</i> 1117	<i>ermB</i>
<i>Staphylococcus aureus</i> 0052	susceptible parent
<i>Staphylococcus aureus</i> 1120	<i>ermC</i>
<i>Staphylococcus aureus</i> 1032	<i>msrA</i> , <i>mph</i> , esterase
<i>Staphylococcus hemolyticus</i> 1006	<i>msrA</i> , <i>mph</i>
<i>Streptococcus pyogenes</i> 0203	susceptible parent
<i>Streptococcus pyogenes</i> 1079	<i>ermB</i>
<i>Streptococcus pyogenes</i> 1062	susceptible parent
<i>Streptococcus pyogenes</i> 1061	<i>ermB</i>
<i>Streptococcus pyogenes</i> 1064	<i>ermB</i>
<i>Streptococcus agalactiae</i> 1024	susceptible parent
<i>Streptococcus agalactiae</i> 1023	<i>ermB</i>
<i>Streptococcus pneumoniae</i> 1016	susceptible
<i>Streptococcus pneumoniae</i> 1046	<i>ermB</i>
<i>Streptococcus pneumoniae</i> 1095	<i>ermB</i>
<i>Streptococcus pneumoniae</i> 1175	<i>mefE</i>
<i>Streptococcus pneumoniae</i> 0085	susceptible
<i>Haemophilus influenzae</i> 0131	susceptible

Moraxella catarrhalis 0040	susceptible
Moraxella catarrhalis 1055	erythromycin intermediate resistance
Escherichia coli 0266	susceptible

5

Assay II is utilized to test for activity against *Pasteurella multocida* and Assay III is utilized to test for activity against *Pasteurella haemolytica*.

#### Assay II

This assay is based on the liquid dilution method in microliter format. A single colony of *P. multocida* (strain 59A067) is inoculated into 5 ml of brain heart infusion (BHI) broth. The test compounds are prepared by solubilizing 1 mg of the compound in 125  $\mu$ l of dimethylsulfoxide (DMSO). Dilutions of the test compound are prepared using uninoculated BHI broth. The concentrations of the test compound used range from 200  $\mu$ g/ml to 0.098  $\mu$ g/ml by two-fold serial dilutions. The *P. multocida* inoculated BHI is diluted with uninoculated BHI broth to make a  $10^4$  cell suspension per 200  $\mu$ l. The BHI cell suspensions are mixed with respective serial dilutions of the test compound, and incubated at 37°C for 18 hours. The minimum inhibitory concentration (MIC) is equal to the concentration of the compound exhibiting 100% inhibition of growth of *P. multocida* as determined by comparison with an uninoculated control.

#### Assay III

This assay is based on the agar dilution method using a Steers Replicator. Two to five colonies isolated from an agar plate are inoculated into BHI broth and incubated overnight at 37°C with shaking (200 rpm). The next morning, 300  $\mu$ l of the fully grown *P. haemolytica* preculture is inoculated into 3 ml of fresh BHI broth and is incubated at 37°C with shaking (200 rpm). The appropriate amounts of the test compounds are dissolved in ethanol and a series of two-fold serial dilutions are prepared. Two ml of the respective serial dilution is mixed with 18 ml of molten BHI agar and solidified. When the inoculated *P. haemolytica* culture reaches 0.5 McFarland standard density, about 5  $\mu$ l of the *P. haemolytica* culture is inoculated onto BHI agar plates containing the various concentrations of the test compound using a Steers Replicator and incubated for 18 hours at 37°C. Initial concentrations of the test compound range from 100-200  $\mu$ g/ml. The MIC is equal to the concentration of the test compound exhibiting 100% inhibition of growth of *P. haemolytica* as determined by comparison with an uninoculated control.

The in vivo activity of the compounds of formula (I) can be determined by conventional animal protection studies well known to those skilled in the art, usually carried out in mice.

Mice are allotted to cages (10 per cage) upon their arrival, and allowed to acclimate for a minimum of 48 hours before being used. Animals are inoculated with 0.5 ml of a  $3 \times 10^3$  CFU/ml bacterial suspension (*P. multocida* strain 59A006) intraperitoneally. Each experiment has at least 3



5 non-medicated control groups including one infected with 0.1X challenge dose and two infected with  
1X challenge dose; a 10X challenge data group may also be used. Generally, all mice in a given  
study can be challenged within 30-90 minutes, especially if a repeating syringe (such as a  
Cornwall® syringe) is used to administer the challenge. Thirty minutes after challenging has begun,  
the first compound treatment is given. It may be necessary for a second person to begin compound  
10 dosing if all of the animals have not been challenged at the end of 30 minutes. The routes of  
administration are subcutaneous or oral doses. Subcutaneous doses are administered into the  
loose skin in the back of the neck whereas oral doses are given by means of a feeding needle. In  
both cases, a volume of 0.2 ml is used per mouse. Compounds are administered 30 minutes, 4  
hours, and 24 hours after challenge. A control compound of known efficacy administered by the  
15 same route is included in each test. Animals are observed daily, and the number of survivors in  
each group is recorded. The *P. multocida* model monitoring continues for 96 hours (four days) post  
challenge.

The  $PD_{50}$  is a calculated dose at which the compound tested protects 50% of a group of  
mice from mortality due to the bacterial infection which would be lethal in the absence of drug  
20 treatment.

The compounds of formula I, and the pharmaceutically acceptable salts thereof (hereinafter  
"the active compounds"), may be administered through oral, parenteral, topical, or rectal routes in  
the treatment or prevention of bacterial or protozoa infections. In general, these compounds are  
most desirably administered in dosages ranging from about 0.2 mg per kg body weight per day  
25 (mg/kg/day) to about 200 mg/kg/day in single or divided doses (i.e., from 1 to 4 doses per day),  
although variations will necessarily occur depending upon the species, weight and condition of the  
subject being treated and the particular route of administration chosen. However, a dosage level  
that is in the range of about 4 mg/kg/day to about 50 mg/kg/day is most desirably employed.  
Variations may nevertheless occur depending upon the species of mammal, fish or bird being  
30 treated and its individual response to said medicament, as well as on the type of pharmaceutical  
formulation chosen and the time period and interval at which such administration is carried out. In  
some instances, dosage levels below the lower limit of the aforesaid range may be more than  
adequate, while in other cases still larger doses may be employed without causing any harmful side  
effects, provided that such larger doses are first divided into several small doses for administration  
35 throughout the day.

The active compounds may be administered alone or in combination with pharmaceutically  
acceptable carriers or diluents by the routes previously indicated, and such administration may be  
carried out in single or multiple doses. More particularly, the active compounds may be  
administered in a wide variety of different dosage forms, i.e., they may be combined with various  
40 pharmaceutically acceptable inert carriers in the form of tablets, capsules, lozenges, troches, hard

5 candies, powders, sprays, creams, salves, suppositories, jellies, gels, pastes, lotions, ointments, aqueous suspensions, injectable solutions, elixirs, syrups, and the like. Such carriers include solid diluents or fillers, sterile aqueous media and various non-toxic organic solvents, etc. Moreover, oral pharmaceutical compositions can be suitably sweetened and/or flavored. In general, the active compounds are present in such dosage forms at concentration levels ranging from about 5.0% to  
10 about 70% by weight.

For oral administration, tablets containing various excipients such as microcrystalline cellulose, sodium citrate, calcium carbonate, dicalcium phosphate and glycine may be employed along with various disintegrants such as starch (and preferably corn, potato or tapioca starch), alginic acid and certain complex silicates, together with granulation binders like polyvinylpyrrolidone,  
15 sucrose, gelatin and acacia. Additionally, lubricating agents such as magnesium stearate, sodium lauryl sulfate and talc are often very useful for tableting purposes. Solid compositions of a similar type may also be employed as fillers in gelatin capsules; preferred materials in this connection also include lactose or milk sugar as well as high molecular weight polyethylene glycols. When aqueous suspensions and/or elixirs are desired for oral administration, the active compound may be combined  
20 with various sweetening or flavoring agents, coloring matter or dyes, and, if so desired, emulsifying and/or suspending agents as well, together with such diluents as water, ethanol, propylene glycol, glycerin and various like combinations thereof.

For parenteral administration, solutions of an active compound in either sesame or peanut oil or in aqueous propylene glycol may be employed. The aqueous solutions should be suitably  
25 buffered (preferably pH greater than 8) if necessary and the liquid diluent first rendered isotonic. These aqueous solutions are suitable for intravenous injection purposes. The oily solutions are suitable for intraarticular, intramuscular and subcutaneous injection purposes. The preparation of all these solutions under sterile conditions is readily accomplished by standard pharmaceutical techniques well known to those skilled in the art.

30 Additionally, it is also possible to administer the active compounds of the present invention topically and this may be done by way of creams, jellies, gels, pastes, patches, ointments and the like, in accordance with standard pharmaceutical practice.

For administration to animals other than humans, such as cattle or domestic animals, the active compounds may be administered in the feed of the animals or orally as a drench  
35 composition.

The active compounds may also be administered in the form of liposome delivery systems, such as small unilamellar vesicles, large unilamellar vesicles and multilamellar vesicles. Liposomes can be formed from a variety of phospholipids, such as cholesterol, stearylamine or phosphatidylcholines.

5           The active compounds may also be coupled with soluble polymers as targetable drug carriers. Such polymers can include polyvinylpyrrolidone, pyran copolymer, polyhydroxypropylmethacrylamide phenyl, polyhydroxyethylaspartamide-phenol, or polyethyleneoxide-polylysine substituted with palmitoylresidues. Furthermore, the active compounds may be coupled to a class of biodegradable polymers useful in achieving controlled  
10 release of a drug, for example, polylactic acid, polyglycolic acid, copolymers of polylactic and polyglycolic acid, polyepsilon caprolactone, polyhydroxy butyric acid, polyorthoesters, polyacetals, polydihydropyrans, polycyanoacrylates and cross-linked or amphipathic block copolymers of hydrogels.

          The Examples provided below illustrate specific embodiments of the invention, but the  
15 invention is not limited in scope to the Examples specifically exemplified.

#### EXAMPLE 1

Formula XIII (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$  or  $\beta$ ; R<sup>4</sup> is nitromethyl and R<sup>6</sup> is ethyl)

20           1,8-Diazabicyclo[5.4.0]undec-7-ene (76  $\mu$ l, 0.5 mmol) was added to a solution of formula VII (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>3</sup> is imidazolecarbonyl; R<sup>4</sup> is hydrogen and R<sup>6</sup> is ethyl) (71 mg, 0.1 mmol and nitromethane (76  $\mu$ l, 0.5 mmol) in 2 mL of acetonitrile.

          The resulting solution was refluxed for 1.5 hours under nitrogen. Ethyl acetate (10 mL) was added to the reaction mixture and the organic layer was washed with saturated sodium dihydrogen  
25 phosphate solution.

          The ethyl acetate solution was washed with brine and dried over Na<sub>2</sub>SO<sub>4</sub>. The residue obtained after evaporation of the solvent was chromatographed on silica gel (TLC, 5% MeOH - 0.5% NH<sub>4</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>) to give 19 mg (29%) of the titled compound (C-8 methyl  $\alpha$ ); MS m/e 655 (M+1) and 11 mg (17%) of the titled compound (C-8 methyl  $\beta$ ); MS m/e 655 (M+1).

#### 30           EXAMPLE 2

Formula VI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$  or  $\beta$ ; and R<sup>6</sup> is ethyl)

          1,8-Diazabicyclo[5.4.0]undec-7-ene (90  $\mu$ l, 0.61 mmol) was added to a solution of VII (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>3</sup> is imidazolecarbonyl; R<sup>4</sup> is hydrogen and R<sup>6</sup> is ethyl) (4.30 grams, 6.1 mmol) in 120 mL of dry acetonitrile.

35           The solution was refluxed for 4 hours. The solvent was evaporated and the residue was chromatographed on silica gel (1% methanol - 0.5% triethylamine - Methyl t-butyl ether) to give 2.44 grams (67%) of the titled compound (C-8 methyl  $\alpha$ ) and 643 mg (18%) of the titled compound (C-8 methyl  $\beta$ ); MS m/e 594 (M+1).

5

**EXAMPLE 3****Formula V (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; and R<sup>6</sup> is ethyl)**

A compound of formula VI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; and R<sup>6</sup> is ethyl) (555 mg, 0.94 mmole) was dissolved 40 mL of ethylene and cooled to -78°. Trimethylsilyl azide (744  $\mu$ L of 1M tin(IV)chloride solution in methylene chloride) was added dropwise. The reaction mixture was slowly warmed to room temperature and stirred overnight. The reaction was quenched by addition of saturated sodium hydrogen carbonate solution, and the product was extracted with methylene chloride. The methylene chloride layer was washed with brine and dried over sodium sulfate. The solvent was evaporated and the residue was chromatographed to give 410 mg (69%) of the titled compound; MS m/e 637 (M+1).

15

**EXAMPLE 4****Formula X (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>6</sup> is ethyl and R<sup>7</sup> is methyl) and Formula XVIII (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>6</sup> is ethyl and R<sup>7</sup> is methyl)**

Lindlar catalyst (25 mg) was added to a solution of a compound of formula V (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; and R<sup>6</sup> is ethyl) (25 mg, 0.039 mmol) in 5 mL of ethanol, and the resulting solution was hydrogenated in a Parr shaker with 20 psi of hydrogen at room temperature for 2 hours. The solution was filtered through celite and the solvent was evaporated. The residue was dissolved in 2 mL of tetrahydrofuran and treated with 50  $\mu$ L of pyridine and 50  $\mu$ L acetic anhydride at 4°C overnight. The solvent and excess reagents were evaporated and the residue was chromatographed on silica gel plates (5% methanol - 0.5% NH<sub>4</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>) to give 6.6 mg (26%) of formula X; m/e 653 (M+1) and 2.7 mg (11%) of formula XVIII; MS m/e 653 (M+1).

25

**EXAMPLE 5****Formula XXIX (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>5</sup> is  $\alpha$ -methyl and R<sup>6</sup> is ethyl) and Formula XXXV (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; and R<sup>6</sup> is ethyl)**

— Lindlar catalyst (137 mg) was added to a solution of a compound of formula V (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; and R<sup>6</sup> is ethyl) (137 mg, 0.215 mmol) in 15 mL of ethanol. The resulting solution was hydrogenated at 20 psi of hydrogen for 2 hours. The solution was filtered through celite and the solvent was removed under reduced pressure. The residue was dissolved in 5 mL of tetrahydrofuran and cooled in an ice-bath. Triethylamine (85  $\mu$ L 0.611 mmol, 2.84 eq) and phosgene (0.25 mL of 1.93 M solution in toluene, 0.483 mmol, 2.24 eq) were added. The solution was stirred at 0° for 2 hours. The reaction mixture was diluted with 25 mL of ethyl acetate and washed with a saturated sodium hydrogen carbonate solution and brine.

30

After drying over sodium sulfate, the solvent was removed under reduced pressure. The residue was then dissolved in 2 mL of dimethylformamide and anhydrous hydrazine (67  $\mu$ L, 2.15 mmol, 10 eq) was added. The resulting solution was heated at 60°C for 6 hours.

Dimethylformamide was removed under reduced pressure and the residue was chromatographed

40

5 on SiO<sub>2</sub> (5% methanol - 0.5% NH<sub>4</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>) to give two major fractions. The first fraction was further chromatographed on SiO<sub>2</sub> plates (7.5% MeOH - 0.75% NH<sub>4</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>) to give 9 mg (7%) of formula XXIX; Ms m/e 627 (M+1).

The second fraction was further chromatographed on SiO<sub>2</sub> plates (5% MeOH - 5% triethylaminemethyl tert-butyl ether) to give 12 mg (9%) of formula XXXV; MS m/e 627.

10

**EXAMPLE 6**

**Formula L (a is 1; R<sup>1</sup> is methyl, R<sup>2</sup> is acetyl, C-8 methyl is α; R<sup>5</sup> is α-methyl and R<sup>6</sup> is ethyl)**

A compound of formula XXIX (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is α; R<sup>5</sup> is methyl and R<sup>6</sup> is ethyl) (12 mg, 0.027 mmol) and 3-(quinolin-4-yl)propionaldehyde (10 mg, 0.054 mmol) in 1 mL of toluene were heated to 90° for 14 hours. Toluene was removed under reduced pressure, and  
15 the residue was dissolved in 1 mL of methanol (MeOH). Sodium cyanoborohydride (16.9 mg, 0.27 mol) and acetic acid (25 μL, 0.43 mmol) were added, and the resulting solution was stirred at room temperature for 46 hours. The solvent was removed and the residue was dissolved in methylene chloride and washed with a saturated sodium hydrogen carbonate solution and brine. After drying over sodium sulfate, the solvent was evaporated and the residue was chromatographed on silica gel  
20 (TLC, 5% methanol - 0.5% NH<sub>4</sub>OH-methylene chloride) to give 13 mg of slightly yellow glass. This material was further chromatographed on silica gel (TLC, 5% MeOH - 5%-triethylamine - methyl t-butyl ether) to give 10 mg (47%) of the titled compound; MS m/e 839 (M+1).

**EXAMPLE 7**

**Formula XI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is α; R<sup>6</sup> is ethyl and R<sup>7</sup> is 4-(3-pyridinyl)-1H-imidazol-1-butyl) and Formula XIX (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is α; R<sup>6</sup> is ethyl and R<sup>7</sup> is 4-(3-pyridinyl)-1H-imidazol-1-butyl)**

Lindlar catalyst (137 mg) was added to a solution of a compound of formula V (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is α; and R<sup>6</sup> is ethyl) (137 mg, 0.215 mmol) in 15 mL of ethanol. The resulting solution was hydrogenated at 20 psi of hydrogen for 2 hours. The solution was filtered  
30 through celite and the solvent was removed under reduced pressure. The residue was dissolved in 5 mL of THF and cooled in an ice-bath. Triethylamine (85 μL, 0.611 mmol, 2.84 eq) and a phosgene solution in toluene (250 μL of 1.93 M solution, 0.483 mmol, 2.24 eq) was added. The solution was stirred at 0° for 2 hours. The reaction mixture was diluted with 25 mL of ethyl acetate and washed with a saturated NaHCO<sub>3</sub> solution and brine. After drying over Na<sub>2</sub>SO<sub>4</sub>, the solvent  
35 was removed under reduced pressure. The residue was dissolved in 2 mL of DMF and 4-(3-pyridinyl)-1H-imidazol-1-butylamine (139 mg, 0.645 mmol) was added.

The solution was warmed to 60° for 6 hours. DMF was removed under reduced pressure, and the residue was chromatographed on silica gel (TLC 5% - MeOH - 0.5% NH<sub>4</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>) to give two fractions. The less polar fraction was further chromatographed on preparative SiO<sub>2</sub> TLC

- 5 (7.5% MeOH - 0.75% NH<sub>4</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>) to give 5 mg (3%) of a compound of formula XI: MS m/e 853 (M+1).

The more polar fraction was further chromatographed on silica gel (TLC 5% MeOH - 5% triethylamine-methyl t-butyl ether) to give 12 mg (7%) of a compound of formula XIX: MS m/e 853 (M+1).

10

#### EXAMPLE 7B

**Formula XXV (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>6</sup> is ethyl; and R<sup>7</sup> is 4-(3-pyridyl)-1H-imidazol-1-butyl)**

- 15 A solution of XI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>6</sup> is ethyl; and R<sup>7</sup> is 4-(3-pyridyl)-1H-imidazol-1-butyl) (35 mg, 0.041 mmol) and 3.5 mg of potassium hydroxide in 1 ml of toluene was heated at 90°C for 1 hour. The cooled solution was diluted with ethyl acetate and washed with water. The aqueous layer was extracted with fresh ethyl acetate. The combined organic layers were dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated. The residue was chromatographed on silica gel (TLC 10% MeOH-CH<sub>2</sub>Cl<sub>2</sub>) to give 15 mg (43%) of the title compound: MS m.e 853.

#### EXAMPLE 8

- 20 **Formula XI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is hydrogen; C-8 methyl is  $\alpha$ ; R<sup>6</sup> is ethyl and R<sup>7</sup> is 4-(3-pyridinyl)-1H-imidazol-1-butyl)**

- A compound of formula XI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>6</sup> is ethyl and R<sup>7</sup> is 4-(3-pyridinyl)-1H-imidazol-1-butyl) (6 mg, 7 mmol) was warmed in methanol for 1 hour. Methanol was then evaporated and the residue was chromatographed on silica gel (TLC 10% MeOH - 1% NH<sub>4</sub>OH-CH<sub>2</sub>Cl<sub>2</sub>) to give 4 mg (70%) of the titled compound: MS m/e 812 (M+1).

#### EXAMPLE 9

**Formula XXVI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$  or  $\beta$ ; R<sup>5</sup> is methyl and R<sup>6</sup> is ethyl)**

- 30 Formula VI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$  or  $\beta$ ; and R<sup>6</sup> is ethyl) (54 mg, 0.091 mmol) was dissolved in 5 mL of CH<sub>2</sub>Cl<sub>2</sub> and cooled in a dry ice-acetone bath. Trimethylsilyl isothiocyanate (128  $\mu$ L, 0.91 mmol) and a tin(IV)chloride solution (137  $\mu$ L of 1M solution in methylene chloride were added and the resulting solution was gradually warmed up to room temperature overnight. A saturated sodium hydrogen carbonate solution was then added and the products were extracted with ethyl acetate. The organic layer was washed with brine and dried over sodium sulfate. The residue obtained after evaporation of the solvents were chromatographed on silica gel (hexane:acetone = 2:1) to give 10 mg (17%) of the titled compound: MS m/e 653.

#### EXAMPLE 10

**Formula XIV (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$ ; R<sup>6</sup> is ethyl and R<sup>7</sup> is propargyl)**

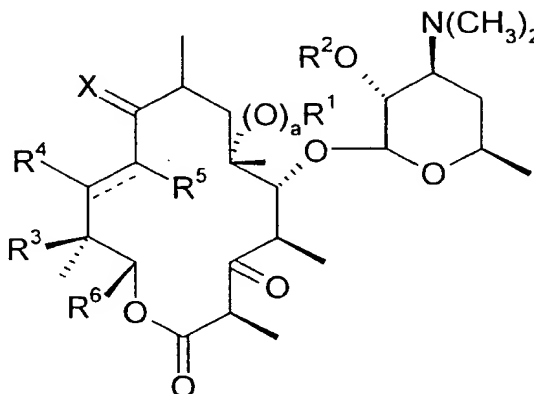
- 40 A compound of formula VI (a is 1; R<sup>1</sup> is methyl; R<sup>2</sup> is acetyl; C-8 methyl is  $\alpha$  or  $\beta$ ; and R<sup>6</sup> is ethyl) (118 mg, 0.2 mmol) was dissolved in 10 mL of dry methylene chloride and cooled to -78°.

- 5 Propagyl alcohol (35  $\mu$ L, 0.6 mmol) and a 1M tin(IV)chloride solution in methylene chloride (220  $\mu$ L, 0.22 mmol) were added and the solution was gradually warmed to room temperature overnight. The reaction was quenched by addition of saturated sodium hydrogen carbonate solution and the methylene chloride solution was washed with brine and dried over sodium sulfate. The residue obtained after evaporation of methylene chloride was chromatographed on silica gel (TLC 5% methanol - 2.5% triethylamin-methyl t-butyl ether). The appropriate band was extracted with 5% methanol - methylene chloride and re-chromatographed (10% acetone-hexane) to give 6 mg (5%) of the titled compound MS m/e 649 (M+1).
- 10

5

Claims

1. A compound of the formula



or the pharmaceutically acceptable salt thereof; wherein the dashed line between positions 10 and 11 represents an optional double bond;

10 a is 0 or 1;

R<sup>1</sup> is hydrogen or (C<sub>1</sub>-C<sub>10</sub>)alkyl optionally substituted by fluoro, cyano, R<sup>7</sup>, R<sup>7</sup>O<sub>2</sub>C, R<sup>7</sup>C(O)NH and R<sup>7</sup>S(O)<sub>n</sub> wherein n is 0, 1 or 2 and R<sup>7</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, 15 heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>2</sup> is hydrogen or a hydroxy protecting group;

20 R<sup>3</sup> is amino, cyano, N<sub>3</sub>, R<sup>10</sup>NH, R<sup>10</sup>C(O)NH, R<sup>10</sup>NHC(O)NH, R<sup>10</sup>NHC(S)NH, R<sup>10</sup>NHNHC(O)NH, R<sup>10</sup>ONHC(O)NH, R<sup>10</sup>O, R<sup>10</sup>OC(O)NH, R<sup>10</sup>S(O)<sub>n</sub>, R<sup>10</sup>phosphoramido, R<sup>10</sup>sulfonamido, SH, R<sup>10</sup>S wherein n is defined above and R<sup>10</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, 25 cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl; or R<sup>3</sup> is R<sup>12</sup>R<sup>13</sup>N wherein R<sup>12</sup> and R<sup>13</sup> are each 30 independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl (C<sub>1</sub>-C<sub>6</sub>)alkyl;

R<sup>4</sup> is hydrogen, methyl optionally substituted by one to two nitro, cyano, R<sup>14</sup>C(O) and R<sup>14</sup>OC(O); or R<sup>4</sup> is N<sub>3</sub>, R<sup>14</sup>O, R<sup>14</sup>NH, R<sup>14</sup>S wherein R<sup>14</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-

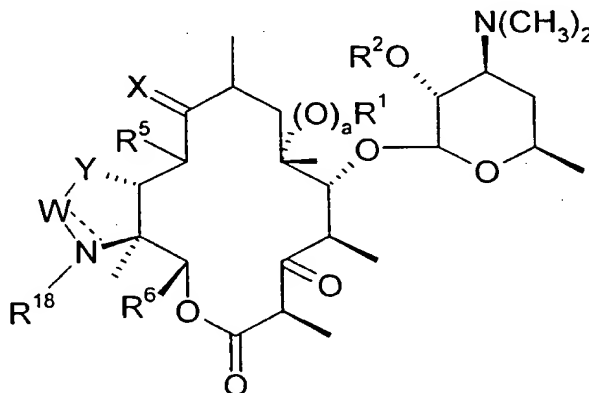


- 5 C<sub>12</sub>alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and
- 10 R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl; or R<sup>4</sup> is R<sup>15</sup>N(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein R<sup>15</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl;

- X is oxygen or NOR<sup>16</sup> wherein R<sup>16</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen or (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;
- 20 C<sub>9</sub>)heteroaryl;

R<sup>5</sup> is hydrogen or methyl;

or R<sup>3</sup> and R<sup>4</sup> may be taken together with the carbons to which they are attached to form



- wherein the dashed line, between the nitrogen and the variable W of formula II, represents an optional double bond;
- 25

W is C=O, C=S, SO<sub>2</sub> or C=NR<sup>10</sup> wherein R<sup>10</sup> is as defined above;

- Y is oxygen, sulfur or NR<sup>17</sup> wherein R<sup>17</sup> is hydrogen, R<sup>19</sup>, R<sup>19</sup>O or R<sup>19</sup>NH wherein R<sup>19</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O,
- 30

5  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$  wherein  $R^8$  and  $R^9$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_5-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl;

$R^{18}$  is hydrogen,  $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl; wherein the aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  
10  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^{20}R^{21}N$ ,  $R^{20}C(O)$ ,  $R^{20}C(O)O$ ,  $R^{20}OC(O)$ ,  $R^{20}C(O)NH$ ,  $R^{20}NHC(O)$ ,  $R^{20}R^{21}NC(O)$ , and  $R^{20}OCO_2$  wherein  $R^{20}$  and  $R^{21}$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ acyl or  $(C_5-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl;

$R^6$  is hydrogen,  $(C_1-C_6)$ alkyl,  $(C_2-C_6)$ alkenyl,  $(C_2-C_6)$ alkynyl,  $(C_1-C_6)$ alkoxy $(C_1-C_6)$ alkyl or  $(C_1-C_6)$ alkylthio $(C_1-C_6)$ alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally  
15 substituted by one to three substituents independently selected from hydroxy and halo; or  $R^6$  is  $(C_3-C_{10})$ cycloalkyl or  $(C_5-C_{10})$ cycloalkenyl optionally substituted by  $(C_1-C_6)$ alkyl or halo; or  $R^6$  is  $(C_2-C_8)$ heterocycloalkyl or  $(C_2-C_9)$ heteroaryl optionally substituted by  $(C_1-C_6)$ alkyl,  $(C_2-C_8)$ alkenyl,  $(C_2-C_8)$ alkynyl,  $(C_3-C_{10})$ cycloalkyl,  $(C_5-C_{10})$ cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl,  $(C_1-C_6)$ alkoxy or halo;

20 with the proviso that at least one of  $R^{17}$  or  $R^{18}$  is hydrogen;

with the proviso that when the dashed line between positions 10 and 11 represents a double bond,  $R^4$  is hydrogen; and

with the proviso that when  $a$  is zero,  $R^1$  is hydrogen.

2. A compound according to claim 1, wherein  $a$  is 1 and  $R^1$  is  $(C_1-C_{10})$ alkyl.

25 3. A compound according to claim 1, wherein  $R^2$  is hydrogen.

4. A compound according to claim 1, wherein  $R^3$  is  $N_3$ ,  $R^{10}NH$ ,  $R^{10}C(O)NH$ ,  $R^{10}NHC(O)NH$  or  $R^{10}NHNHC(O)NH$ .

5. A compound according to claim 1, wherein  $R^4$  is hydrogen,  $R^{14}NH$  or  $R^{14}S$ .

6. A compound according to claim 1, wherein  $R^6$  is ethyl.

30 7. A compound according to claim 1, wherein  $W$  is  $C=O$  and  $Y$  is  $NR^{17}$ .

8. A compound according to claim 1, wherein  $a$  is 1;  $R^1$  is  $(C_1-C_{10})$ alkyl;  $R^2$  is hydrogen;  $R^3$  is  $N_3$ ,  $R^{10}NH$ ,  $R^{10}C(O)$ ,  $R^{10}NHC(O)NH$  or  $R^{10}NHNHC(O)NH$ ;  $R^4$  is hydrogen,  $R^{14}NH$  or  $R^{14}S$  and  $R^6$  is ethyl.

9. A compound according to claim 1, wherein  $a$  is 1;  $R^1$  is  $(C_1-C_{10})$ alkyl;  $R^2$  is  
35 hydrogen;  $R^3$  and  $R^4$  are taken together with the carbons to which they are attached to form the compound of formula II;  $W$  is  $C=O$  and  $Y$  is  $NR^{17}$ .

10. A compound according to claim 1, wherein said compound is selected from the group consisting of:

11,12-Dideoxy-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-  
40 O-methyl-12,11-(iminocarbonyl(2-(3-(4-quinoliny)propyl)hydrazono))-3-oxoerythromycin;

- 5           11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-12-iminocarbonyl((4-(4-(3-pyridinyl)-1H-imidazol-1-yl)butylimino))-3-oxoerythromycin;
- 11,12-Dideoxy-11,12-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyrano-5yl)oxy)-6-O-methyl-10-iminocarbonyl((4-(4-(3-pyridinyl)-(H-imidazol-1-yl)butylimino))-3-oxoerythromycin;
- 10           11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)-oxy)-6-O-methyl-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate;
- 11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)-oxy)-6-O-methyl-8-epi-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-
- 15           acetate;
- 11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -azido-6-O-methyl-3-oxoerythromycin-2'-acetate;
- 11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -azido-6-O-methyl-3-oxo-8-epierythromycin-2'-acetate;
- 20           11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -amino-6-O-methyl-3-oxoerythromycin-2'-acetate;
- 11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -amino-6-O-methyl-3-oxo-8-erythromycin-2'-acetate;
- 11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)ox)-12- $\beta$ -acetamino-6-O-methyl-3-oxoerythromycin-2'acetate;
- 25           11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -azido-6-O-methyl-3-oxoerythromycin-2'-acetate;
- 11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -amino-6-O-methyl-3-oxoerythromycin-2'-acetate;
- 30           11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -acetamino-6-O-methyl-3-oxoerythromycin-2'-acetate;
- 11,12-Dideoxy-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-3-oxo-12,11-(iminocarbonylhydrazono)erythromycin-2'-acetate;
- 11,12-Dideoxy-11,12-dehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-10- $\beta$ -iminocarbonylhydrazono-6-O-methyl-3-oxo-erythromycin-2'-
- 35           acetate;
- 11,12-Dideoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -isothiocyanato-6-O-methyl-3-oxoerythromycin-2'-acetate;
- 11,Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-12- $\beta$ -propagyl-6-O-methyl-3-oxoerythromycin-2'-acetate;
- 40

5           11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-11-nitromethyl-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate; and

          11-Deoxy-10,11-didehydro-3-de((2,6-dideoxy-3-C-methyl-3-O-methyl- $\alpha$ -L-ribohexopyranosyl)oxy)-6-O-methyl-11-nitromethyl-8-epi-3-oxoerythromycin-1,2-enol-1,12-cyclicether-2'-acetate.

10

11.       A pharmaceutical composition for the treatment of a disorder selected from a bacterial infection, a protozoal infection, and a disorder related to a bacterial infection or protozoal infection in a mammal, fish, or bird which comprises a therapeutically effective amount of a compound of claim 1 and a pharmaceutically acceptable carrier.

15       12. The pharmaceutical composition of claim 11 wherein said disorder is pneumonia, otitis media, sinusitis, bronchitis, tonsillitis, or mastoiditis related to infection by *Streptococcus pneumoniae*, *Haemophilus influenzae*, *Moraxella catarrhalis*, *Staphylococcus aureus*, or *Peptostreptococcus* spp.; pharyngitis, rheumatic fever, or glomerulonephritis related to infection by *Streptococcus pyogenes*, Groups C and G streptococci, *Clostridium diphtheriae*, or

20 *Actinobacillus haemolyticum*; a respiratory tract infections related to infection by *Mycoplasma pneumoniae*, *Legionella pneumophila*, *Streptococcus pneumoniae*, *Haemophilus influenzae*, or *Chlamydia pneumoniae*; uncomplicated skin or soft tissue infection, abscess or osteomyelitis, or puerperal fever related to infection by *Staphylococcus aureus*, coagulase-positive staphylococci (i.e., *S. epidermidis*, *S. hemolyticus*, etc.), *Streptococcus pyogenes*, *Streptococcus agalactiae*,

25 Streptococcal groups C-F (minute-colony streptococci), viridans streptococci, *Corynebacterium minutissimum*, *Clostridium* spp., or *Bartonella henselae*; uncomplicated acute urinary tract infection related to infection by *Staphylococcus saprophyticus* or *Enterococcus* spp.; urethritis, or cervicitis; a sexually transmitted disease related to infection by *Chlamydia trachomatis*, *Haemophilus ducreyi*, *Treponema pallidum*, *Ureaplasma urealyticum*, or *Neisseria gonorrhoeae*;

30 toxin disease related to infection by *S. aureus* (food poisoning or toxic shock syndrome), or Groups A, B, and C streptococci; ulcer related to infection by *Helicobacter pylori*; systemic febrile syndrome related to infection by *Borrelia recurrentis*; Lyme disease related to infection by *Borrelia burgdorferi*; conjunctivitis, keratitis, and dacrocystitis related to infection by *Chlamydia trachomatis*, *Neisseria gonorrhoeae*, *S. aureus*, *S. pneumoniae*, *S. pyogenes*, *H. influenzae*, or

35 *Listeria* spp.; disseminated *Mycobacterium avium* complex (MAC) disease related to infection by *Mycobacterium avium*, or *Mycobacterium intracellulare*; gastroenteritis related to infection by *Campylobacter jejuni*; intestinal protozoa related to infection by *Cryptosporidium* spp.; odontogenic infection related to infection by viridans streptococci; persistent cough related to infection by *Bordetella pertussis*; gas gangrene related to infection by *Clostridium perfringens* or

40 *Bacteroides* spp.; atherosclerosis or cardiovascular disease related to infection by *Helicobacter*

5 *pylori* or *Chlamydia pneumoniae*; bovine respiratory disease related to infection by *P. haemolytica*, *P. multocida*, *Mycoplasma bovis*, or *Bordetella* spp.; cow enteric disease related to infection by *E. coli* or protozoa; dairy cow mastitis related to infection by *Staph. aureus*, *Strep. uberis*, *Strep. agalactiae*, *Strep. dysgalactiae*, *Klebsiella* spp., *Corynebacterium*, or *Enterococcus* spp.; swine respiratory disease related to infection by *A. pleuro.*, *P. multocida*, or *Mycoplasma*  
10 spp.; swine enteric disease related to infection by *E. coli*, *Lawsonia intracellularis*, *Salmonella*, or *Serpulina hyodysenteriae*; cow footrot related to infection by *Fusobacterium* spp.; cow metritis related to infection by *E. coli*; cow hairy warts related to infection by *Fusobacterium necrophorum* or *Bacteroides nodosus*; cow pink-eye related to infection by *Moraxella bovis*; cow premature abortion related to infection by protozoa; urinary tract infection in a dog or cat related to infection  
15 by *E. coli*; skin or soft tissue infection in a dog or cat related to infection by *Staph. epidermidis*, *Staph. intermedius*, coagulase neg. *Staph.* or *P. multocida*; or dental or mouth infection in a dog or cat related to infection by *Alcaligenes* spp., *Bacteroides* spp., *Clostridium* spp., *Enterobacter* spp., *Eubacterium*, *Peptostreptococcus*, *Porphyromonas*, or *Prevotella*.

13. A method of treating a disorder selected from a bacterial infection, a protozoal  
20 infection, and a disorder related to a bacterial infection or protozoal infection in a mammal, fish, or bird which comprises administering to said mammal, fish or bird a therapeutically effective amount of a compound of claim 1.

14. The method of claim 13, wherein said disorder is pneumonia, otitis media, sinusitis, bronchitis, tonsillitis, or mastoiditis related to infection by *Streptococcus pneumoniae*,  
25 *Haemophilus influenzae*, *Moraxella catarrhalis*, *Staphylococcus aureus*, or *Peptostreptococcus* spp.; pharyngitis, rheumatic fever, or glomerulonephritis related to infection by *Streptococcus pyogenes*, Groups C and G streptococci, *Clostridium diphtheriae*, or *Actinobacillus haemolyticum*; a respiratory tract infections related to infection by *Mycoplasma pneumoniae*, *Legionella pneumophila*, *Streptococcus pneumoniae*, *Haemophilus influenzae*, or *Chlamydia pneumoniae*;  
30 uncomplicated skin or soft tissue infection, abscess or osteomyelitis, or puerperal fever related to infection by *Staphylococcus aureus*, coagulase-positive staphylococci (i.e., *S. epidermidis*, *S. hemolyticus*, etc.), *Streptococcus pyogenes*, *Streptococcus agalactiae*, Streptococcal groups C-F (minute-colony streptococci), viridans streptococci, *Corynebacterium minutissimum*, *Clostridium* spp., or *Bartonella henselae*; uncomplicated acute urinary tract infection related to  
35 infection by *Staphylococcus saprophyticus* or *Enterococcus* spp.; urethritis, or cervicitis; a sexually transmitted disease related to infection by *Chlamydia trachomatis*, *Haemophilus ducreyi*, *Treponema pallidum*, *Ureaplasma urealyticum*, or *Neisseria gonorrhoeae*; toxin disease related to infection by *S. aureus* (food poisoning or toxic shock syndrome), or Groups A, B, and C streptococci; ulcer related to infection by *Helicobacter pylori*; systemic febrile syndrome related to  
40 infection by *Borrelia recurrentis*; Lyme disease related to infection by *Borrelia burgdorferi*;

- 5 conjunctivitis, keratitis, and dacrocystitis related to infection by *Chlamydia trachomatis*, *Neisseria gonorrhoeae*, *S. aureus*, *S. pneumoniae*, *S. pyogenes*, *H. influenzae*, or *Listeria* spp.; disseminated *Mycobacterium avium* complex (MAC) disease related to infection by *Mycobacterium avium*, or *Mycobacterium intracellulare*; gastroenteritis related to infection by *Campylobacter jejuni*; intestinal protozoa related to infection by *Cryptosporidium* spp.;
- 10 odontogenic infection related to infection by viridans streptococci; persistent cough related to infection by *Bordetella pertussis*; gas gangrene related to infection by *Clostridium perfringens* or *Bacteroides* spp.; atherosclerosis or cardiovascular disease related to infection by *Helicobacter pylori* or *Chlamydia pneumoniae*; bovine respiratory disease related to infection by *P. haemolytica*, *P. multocida*, *Mycoplasma bovis*, or *Bordetella* spp.; cow enteric disease related to
- 15 infection by *E. coli* or protozoa; dairy cow mastitis related to infection by *Staph. aureus*, *Strep. uberis*, *Strep. agalactiae*, *Strep. dysgalactiae*, *Klebsiella* spp., *Corynebacterium*, or *Enterococcus* spp.; swine respiratory disease related to infection by *A. pleuro.*, *P. multocida*, or *Mycoplasma* spp.; swine enteric disease related to infection by *E. coli*, *Lawsonia intracellularis*, *Salmonella*, or *Serpulina hyodysenteriae*; cow footrot related to infection by *Fusobacterium* spp.; cow metritis
- 20 related to infection by *E. coli*; cow hairy warts related to infection by *Fusobacterium necrophorum* or *Bacteroides nodosus*; cow pink-eye related to infection by *Moraxella bovis*; cow premature abortion related to infection by protozoa; urinary tract infection in a dog or cat related to infection by *E. coli*; skin or soft tissue infection in a dog or cat related to infection by *Staph. epidermidis*, *Staph. intermedius*, *coagulase neg. Staph.* or *P. multocida*; or dental or mouth infection in a dog
- 25 or cat related to infection by *Alcaligenes* spp., *Bacteroides* spp., *Clostridium* spp., *Enterobacter* spp., *Eubacterium*, *Peptostreptococcus*, *Porphyromonas*, or *Prevotella*.

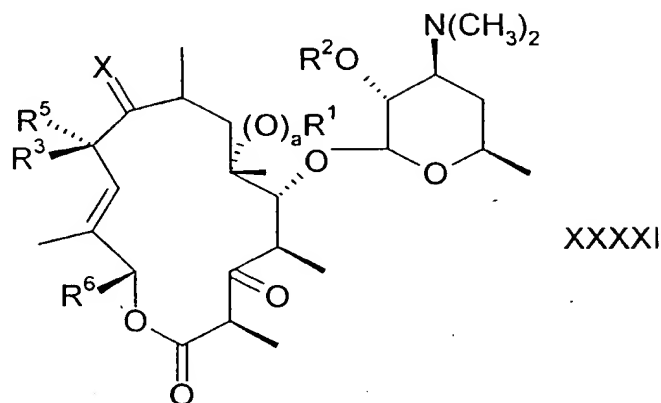
15. A pharmaceutical composition for the treatment of cancer in a mammal which comprises a therapeutically effective amount of a compound of claim 1 and a pharmaceutically acceptable carrier.

- 30 16. The pharmaceutical composition of claim 13, wherein said cancer is non-small cell lung cancer.

17. A method of treating cancer in a mammal which comprises administering to said mammal a therapeutically effective amount of a compound of claim 1.

18. The method of claim 16, wherein said cancer is non-small cell lung cancer.

- 5                    19.        A compound of the formula



or the pharmaceutically acceptable salt thereof, wherein the dashed line between positions 10 and 11 represents an optional double bond;

a is 0 or 1;

- 10                     $R^1$  is hydrogen or  $(C_1-C_{10})$ alkyl optionally substituted by fluoro, cyano,  $R^7$ ,  $R^7O_2C$ ,  $R^7C(O)NH$  and  $R^7S(O)_n$  wherein  $n$  is 0, 1 or 2 and  $R^7$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_{12})$ alkenyl,  $(C_2-C_{12})$ alkynyl,  $(C_3-C_{10})$ cycloalkyl $(C_1-C_6)$ alkyl,  $(C_2-C_9)$ heterocycloalkyl $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^8R^9N$ ,  $R^8C(O)$ ,  $R^8C(O)O$ ,  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$  wherein  $R^8$  and  $R^9$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl;

$R^2$  is hydrogen or a hydroxy protecting group;

- 20                     $R^3$  is  $N_3$ ,  $R^{10}NH$ ,  $R^{10}C(O)NH$ ,  $R^{10}NHC(O)NH$ ,  $R^{10}NHC(S)NH$ ,  $R^{10}NHNHC(O)NH$ ,  $R^{10}ONHC(O)NH$  or  $R^{10}OC(O)NH$ , wherein  $R^{10}$  is  $(C_1-C_6)$ alkyl,  $(C_2-C_{12})$ alkenyl,  $(C_2-C_{12})$ alkynyl,  $(C_3-C_{10})$ cycloalkyl $(C_1-C_6)$ alkyl,  $(C_2-C_9)$ heterocycloalkyl $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo,  $(C_1-C_3)$ alkoxy, hydroxy, nitro, cyano,  $(C_6-C_{10})$ aryl,  $(C_2-C_9)$ heteroaryl,  $R^8R^9N$ ,  $R^8C(O)$ ,  $R^8C(O)O$ ,  $R^8OC(O)$ ,  $R^8C(O)NH$ ,  $R^8NHC(O)$ ,  $R^8R^9NC(O)$  and  $R^8OC(O)_2$  wherein  $R^8$  and  $R^9$  are each independently hydrogen,  $(C_1-C_6)$ alkyl optionally substituted by  $(C_6-C_{10})$ aryl or  $(C_2-C_9)$ heteroaryl; or  $R^3$  is  $R^{11}(C_2-C_4)$ alkynyl wherein  $R^{11}$  is  $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ alkyl $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl $(C_1-C_6)$ alkyl; or  $R^3$  is  $R^{12}R^{13}N$  wherein  $R^{12}$  and  $R^{13}$  are each independently hydrogen,  $(C_1-C_6)$ alkyl,  $(C_6-C_{10})$ aryl $(C_1-C_6)$ alkyl or  $(C_2-C_9)$ heteroaryl  $(C_1-C_6)$ alkyl;
- 30

5 X is oxygen or NOR<sup>16</sup> wherein R<sup>16</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>5</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O),  
 10 R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen or (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>5</sup> is hydrogen or methyl; and

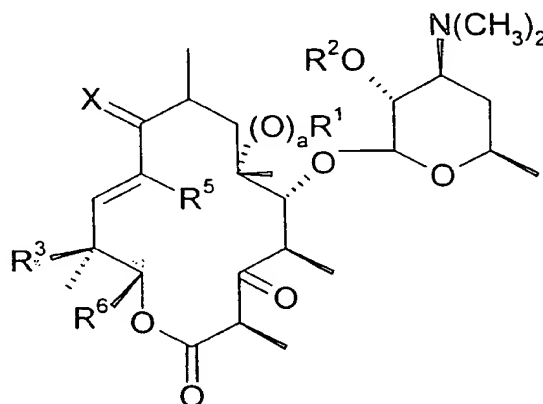
R<sup>6</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl or  
 15 (C<sub>1</sub>-C<sub>6</sub>)alkylthio(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three substituents independently selected from hydroxy and halo; or R<sup>6</sup> is (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl or (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl or halo; or R<sup>6</sup> is (C<sub>2</sub>-C<sub>8</sub>)heterocycloalkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl, (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl or aryl wherein the aryl group is optionally  
 20 substituted by alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy or halo;

with the proviso that when a is zero, R<sup>1</sup> is hydrogen.

20. A pharmaceutical composition for the treatment of a disorder selected from a bacterial infection, a protozoal infection, and a disorder related to a bacterial infection or protozoal infection in a mammal, fish, or bird which comprises a therapeutically effective amount  
 25 of a compound of claim 19 and a pharmaceutically acceptable carrier.

21. A method of treating a disorder selected from a bacterial infection, a protozoal infection, and a disorder related to a bacterial infection or protozoal infection in a mammal, fish, or bird which comprises administering to said mammal, fish or bird a therapeutically effective amount of a compound of claim 19.

30 22. A compound of the formula



XXXXII



5 a is 0 or 1;

R<sup>1</sup> is hydrogen or (C<sub>1</sub>-C<sub>10</sub>)alkyl optionally substituted by fluoro, cyano, R<sup>7</sup>, R<sup>7</sup>O<sub>2</sub>C, R<sup>7</sup>C(O)NH and R<sup>7</sup>S(O)<sub>n</sub> wherein n is 0, 1 or 2 and R<sup>7</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

15 R<sup>2</sup> is hydrogen or a hydroxy protecting group;

R<sup>3</sup> is NH<sub>2</sub>, N<sub>3</sub>, O=C=N or S=C=N;

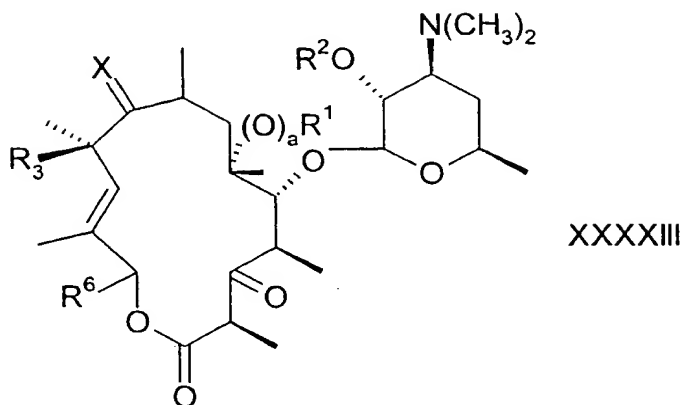
X is oxygen or NOR<sup>16</sup> wherein R<sup>16</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen or (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

25 R<sup>5</sup> is hydrogen or methyl; and

R<sup>6</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>1</sub>-C<sub>6</sub>)alkylthio(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three hydroxy or halo groups; or R<sup>6</sup> is (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl or (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl or halo; or R<sup>6</sup> is (C<sub>2</sub>-C<sub>8</sub>)heterocycloalkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl, (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy or halo.

5

23. A compound of the formula



a is 0 or 1;

R<sup>1</sup> is hydrogen or (C<sub>1</sub>-C<sub>10</sub>)alkyl optionally substituted by fluoro, cyano, R<sup>7</sup>, R<sup>7</sup>O<sub>2</sub>C, R<sup>7</sup>C(O)NH and R<sup>7</sup>S(O)<sub>n</sub> wherein n is 0, 1 or 2 and R<sup>7</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>2</sup> is hydrogen or a hydroxy protecting group;

R<sup>3</sup> is NH<sub>2</sub> or N<sub>3</sub>;

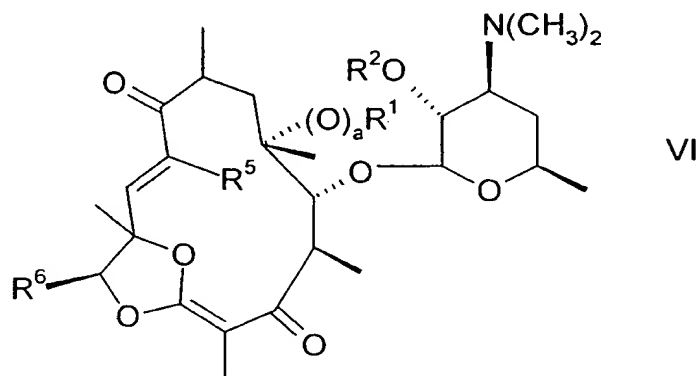
X is oxygen or NOR<sup>16</sup> wherein R<sup>16</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl; wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three substituents independently selected from halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub>, wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen or (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>5</sup> is hydrogen or methyl; and

R<sup>6</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>1</sub>-C<sub>6</sub>)alkylthio(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three substituents independently selected from hydroxy and halo; or R<sup>6</sup> is (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl or (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl or halo; or R<sup>6</sup> is (C<sub>2</sub>-C<sub>8</sub>)heterocycloalkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl,

- 5 (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl, (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy or halo.

24. A compound of the formula



a is 0 or 1;

- 10 R<sup>1</sup> is hydrogen or (C<sub>1</sub>-C<sub>10</sub>)alkyl optionally substituted by fluoro, cyano, R<sup>7</sup>, R<sup>7</sup>O<sub>2</sub>C, R<sup>7</sup>C(O)NH and R<sup>7</sup>S(O)<sub>n</sub> wherein n is 0, 1 or 2 and R<sup>7</sup> is (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>12</sub>)alkenyl, (C<sub>2</sub>-C<sub>12</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>9</sub>)heterocycloalkyl(C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>6</sub>-C<sub>10</sub>)aryl(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl, cycloalkyl, heterocycloalkyl, aryl and heteroaryl groups are optionally substituted by one to three halo, (C<sub>1</sub>-C<sub>3</sub>)alkoxy, hydroxy, nitro, cyano, (C<sub>6</sub>-C<sub>10</sub>)aryl, (C<sub>2</sub>-C<sub>9</sub>)heteroaryl, R<sup>8</sup>R<sup>9</sup>N, R<sup>8</sup>C(O), R<sup>8</sup>C(O)O, R<sup>8</sup>OC(O), R<sup>8</sup>C(O)NH, R<sup>8</sup>NHC(O), R<sup>8</sup>R<sup>9</sup>NC(O) and R<sup>8</sup>OC(O)<sub>2</sub> wherein R<sup>8</sup> and R<sup>9</sup> are each independently hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl optionally substituted by (C<sub>6</sub>-C<sub>10</sub>)aryl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl;

R<sup>2</sup> is hydrogen or a hydroxy protecting group;

R<sup>5</sup> is hydrogen or methyl; and

- 20 R<sup>6</sup> is hydrogen, (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>6</sub>)alkenyl, (C<sub>2</sub>-C<sub>6</sub>)alkynyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkyl or (C<sub>1</sub>-C<sub>6</sub>)alkylthio(C<sub>1</sub>-C<sub>6</sub>)alkyl wherein the alkyl, alkenyl, alkynyl or alkoxy groups are optionally substituted by one to three substituents independently selected from hydroxy and halo; or R<sup>6</sup> is (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl or (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl or halo; or R<sup>6</sup> is (C<sub>2</sub>-C<sub>8</sub>)heterocycloalkyl or (C<sub>2</sub>-C<sub>9</sub>)heteroaryl optionally substituted by (C<sub>1</sub>-C<sub>6</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>3</sub>-C<sub>10</sub>)cycloalkyl, (C<sub>5</sub>-C<sub>10</sub>)cycloalkenyl or aryl wherein the aryl group is optionally substituted by alkyl, (C<sub>1</sub>-C<sub>6</sub>)alkoxy or halo.





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# INTERNATIONAL SEARCH REPORT

International Application No

PC1, 1B 99/01701

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07H17/08 A61K31/70 A61P31/04 A61P33/02 A61P35/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 09978 A (ABBOTT LAB) 12 March 1998 (1998-03-12) claim 1 ---	1-18
A	US 5 439 889 A (AGOURIDAS CONSTANTIN ET AL) 8 August 1995 (1995-08-08) examples 66,67 claim 1 ---	1-18
A	US 5 444 051 A (AGOURIDAS CONSTANTIN ET AL) 22 August 1995 (1995-08-22) claims -----	1-17

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
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- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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- \*Z\* document member of the same patent family

Date of the actual completion of the international search

25 May 2000

Date of mailing of the international search report

25. 09. 2000

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Authorized officer

Held, P

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB 99/01701

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Although claims 13-14, 17, 18 and 21 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
  
1-18 (partially)

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-18 (partially)

Compound of formula I wherein the bond between positions 10 and 11 is a single bond and R3 and R4 are not taken together to form a cycle; pharmaceutical composition containing it as well as its use in the treatment of a disorder

2. Claims: 1-18 (partially)

Compound of formula II; pharmaceutical composition containing it as well as its use in the treatment of a disorder

3. Claims: 1-18 (partially), 22, 24

Compound of formula XXXXI; pharmaceutical composition containing it as well as its use in the treatment of a disorder; Intermediate of formula VI

4. Claims: 19-21, 23

Compound of formula XXXXI; pharmaceutical composition containing it as well as its use in the treatment of a disorder

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Information on patent family members

International Application No

PCT, IB 99/01701

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Information on patent family members

International Application No

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